#### BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

#### APPLICATION FOR RATE INCREASE

### INSTRUCTIONS

Notes or explanations placed in the margins of the application are acceptable. If additional space is needed, supplementary sheets may be attached. If any section does not apply, write "not applicable" or cross out the section.

### **APPLICANT**

Trade name used for utility business Old North State W	Vater Company LLC
Name of owner (if different from trade name)	
Business mailing address PO Box 10127	7.01
City and state Birmingham, AL	Zip Code <u>35202</u>
Business street address (if different from mailing address)	3212 6th Ave So, Ste 200, Birmingham, AL 35222
Business telephone number (205) 326-3200	
List the counties in which you provide water utility service Randolph, Rockingham, Wake	Cumberland, Davidson, Franklin, Guilford, Orange
List the counties in which you provide sewer utility service	not applicable
PROPOSED AND PR	RESENT RATES
Proposed Rates	Present Rates
Metered Residential Service:	Various: \$15.00 base, \$5.00/1,000 gal; \$17.02 ba
Water: \$27,00 base, \$8,29/ 1,000 gal	\$4.80/1.000 gal; \$17.97 base, \$5.03/1.000 gal;
Sewer: not applicable	\$25.00 base, \$5,50/1,000 gal; \$34,14 base,
Flat Rate Residential Service:	\$3.29/1,000 gal
Water	
Sewer:	
Nonresidential Service (explain):	
Water:	~
Sewer:	
Tap-on fees:	
_Water.	
Sewer:	
OTHER PROPOS	SED RATES
Finance charge for late payment:no change	X
(NCUC Rule R12-9) specifies not more than one percent (	(1.0%) per month will be applied to the unpaid balance of
all bills still past due 25 days after billing date.)	pecified in NCUC Rule R7-20: no change
Reconnection charge if water service cut off by utility as sp Reconnection charge if water service discontinued at custo	
Reconnection charge if water service cut off by utility as s	
	-
Other charges: Meter Fee - proposed \$125, current \$70	
Minet data are the averaged rates to become effective?	7/4/0004
What date are the proposed rates to become effective?	7/1/2021
How long have the present rates been in effect?	various since 2014
PROPOSED	BILLING
Frequency of billing shall be (monthly, quarterly, etc.)	no change
Billing shall be for service (in advance or arrears)	no change
Bills past due <u>no change</u> days after the billing dates: (NCUC Rule R12-9 specifies that bills shall not be past du	
	70000 100 B B B A Lab (Cardon C.)
Will regular billing be by written statement? (yes or no)	yes, with option to elect e-bills

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	REVENUES AND EXPENSE	S		
	For 12 Months Ended December 31, 20	020	(Date)	
	Revenues		Water	\ <u>Sewer</u>
1.	Residential service (flat rate)	\$_	0	\$
2.	Residential service (metered rate)	\$	675,629.36	\$
3.	Nonresidential service (flat rate)	\$	0	\$ \
4.	Nonresidential service (metered rate)	\$	0	\$ \
5.	Other revenues (describe in remarks below)	\$	15,070.83	\$ \
6.	Total Revenues (Lines 1 thru 5)	s _	690,700.19	\$
7.	Total salaries	\$_		s_\/
8.	Administrative and office expense (except salaries)	\$	206,253.79	\$
9.	Maintenance and repair expense (except salaries)	\$	558,638.32	s /
10.	Transportation expenses	\$_		s_\/
11.	Electric power for pumping	\$	58,711.41	s \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
12.	Chemicals for treatment	\$_	11,659.53	\$
13.	Testing fees	\$	38,351.91	s \ \ \
14.	Permit fees	\$	1,010.08	s \
15.	Purchased water/sewer treatment	\$	27,843.12	s V
16.	Annual depreciation	\$	69,928.03	s \
17.	Taxes: State income taxes	\$		5
18.	Federal income taxes	\$		s
19.	Gross receipts (or franchise tax)	\$		5
20.	Property taxes	\$	1,187.50	s /
21.	Payroll taxes	\$		\$ /
22.	Other taxes	\$	4,900.00	\$ /
23.	Other expenses (describe in remarks below)	\$	64,436.85	\$ /
24.	Total Expenses (Lines 7 thru 23)	\$_	1,042,920.54	s
25.	Net Operating Income (Line 6 minus Line 24)	\$_	(352,220.35)	\$
26.	Interest on debt during year	\$		\$
27.	Net Income (Line 25 minus Line 26)	\$	(352,220.35)	\$
	arks.			
28.				
29.	Professional Expense \$53,831.77			
30.	Insurance Expense \$6,846,75			
31.	Miscellaneous Expense \$3,758.33			
32.				

## NUMBER OF CUSTOMERS SERVED (at end of month)

			Water		1	Sewer	
	Month	Flat Rate Customers	Metered Customers	Gallons Sold to Metered Custs.	Flat Rate Customers	Metered Customers	Gallons Sold to Metered Custs.
33.	January		1,176	3,920,812			
34.	February		1,283	4,197,066			
35.	March		1,307	3,990,081			
36.	April		1,351	4,966,014	N		
37.	May		1,388	6,892,598	S	$\overline{}$	
38.	June		1,425	8,419,495		$\overline{}$	
39.	July		1,455	8,818,437		7	
40.	August		1,502	10,208,138	0		7
41.	September		1,529	9,562,537			
42.	October		1,550	7,955,627			$\overline{}$
43.	November		1,574	7,181,163			
44.	December		1,576	6,049,467	7		
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### ORIGINAL COST OF UTILITY SYSTEM

As of Year Ended December 31, 2020 (Date)

Note: List the total original cost to construct and establish the system, whether or not paid for by the Present Owner.

Land and rights-of-way Structures and site improvement Wells		***	t End of Year
Structures and site improvement		<u>Water</u> \$ 285,326.00	\$ Sewer
•		\$ 1,473,390.87	\$
***************************************		\$ 755,051.03	\$
Pumping equipment		\$ 482,197.29	\$
Treatment equipment		\$ 100,544.23	\$
Storage tanks		\$ 844,628.36	5
Mains (excluding service connections)		\$ 3,258,522.57	\$ /
Service connections		\$ 333,936.75	\$
Meters (including spare meters)		\$ 163,876.57	\$
Office furniture and equipment		\$ 0	\$
Transportation equipment		\$ 0	s //
Other utility property in service (describe in rer	marks below)	\$ 572,700.68	s
Total utility property in service (Lines 1 thru	20.14174	\$ 8,270,174.35	\$
Less: accumulated depreciation	, _,	\$ 1,068,258.10	s /\
Less: accumulated tap fees and other contribu	itians in aid of	1,000,230.10	*/-
construction	luons in aid oi	\$ 6,033,091.57	s / \
Less: customer advances		\$ 338,009.25	\$
Net investment in utility property (Line 13 min	nus 14 15 & 16)	\$ 830,815.43	\$ /
the module of the second secon	1.00 7 1, 10, 0. 10,		· —
Utility Property Not in Service	9	Balance a	t End of Year
	•	Water	Sewer
Construction work in progress		\$15,374.75	s /
Property held for future use		\$	\$ /
Other (describe in remarks below)			•
William William			- A-
***************************************	UAL DEPRECIATION		
If annual depreciation is claimed using a <u>comp</u> Water:			site rate used:
Sewer:			
f annual depreciation is claimed using individu	ial rates for each typ	pe of equipment, show ra	tes of depreciation
used: the annual depreciation rate below	is annualized for as	sets in service as of 12/3	1/2020
	A1	Method Used	
		MARITAN I ISAN	A
	Annual Depreciation		Amount of
Type of Equipment	Depreciation	(Straight	Amount of Annual Depreciation
Type of Equipment SCADA Electrical Testing Eye Wash	Depreciation Rate	(Straight Line, etc.)	Annual Depreciation
SCADA, Electrical, Testing, Eye Wash	Depreciation Rate 5-year	(Straight Line, etc.) Straight Line	Annual
	Depreciation Rate 5-year 7-year	(Straight Line, etc.) Straight Line Straight Line	Annual Depreciation 21,387.01 331.92
SCADA, Electrical, Testing, Eve Wash Strainer Pumps, Blowers, Air Compressors	Depreciation Rate 5-year 7-year 10-year	(Straight Line, etc.) Straight Line Straight Line Straight Line	Annual Depreciation 21,387.01 331.92 46,899.59
SCADA, Electrical, Testing, Eve Wash Strainer	Depreciation	(Straight Line, etc.) Straight Line Straight Line Straight Line Straight Line	Annual Depreciation 21,387.01 331.92 46,899.59 19,125.42
SCADA. Electrical. Testing. Eve Wash Strainer Pumps, Blowers, Air Compressors Meters, VFD Water Softener, Filters	Depreciation Rate 5-year 7-year 10-year 15-year 20-year	(Straight Line, etc.) Straight Line Straight Line Straight Line Straight Line Straight Line	Annual Depreciation 21,387.01 331.92 46,899.59 19,125.42 296.15
SCADA. Electrical. Testing. Eve Wash Strainer Pumps, Blowers, Air Compressors Meters, VFD Water Softener, Filters Structure & Improvements, Tank	Depreciation Rate 5-year 7-year 10-year 20-year 25-year	(Straight Line, etc.) Straight Line	Annual Depreciation 21,387.01 331.92 46,899.59 19,125.42 296.15 53,081.55
SCADA. Electrical. Testing. Eve Wash Strainer Pumps, Blowers, Air Compressors Meters, VFD Water Softener, Filters	Depreciation Rate 5-year 7-year 10-year 15-year 20-year	(Straight Line, etc.) Straight Line Straight Line Straight Line Straight Line Straight Line	Annual Depreciation 21,387.01 331.92 46,899.59 19,125.42 296.15
SCADA. Electrical. Testing. Eve Wash Strainer Pumps, Blowers, Air Compressors Meters, VFD Water Softener, Filters	Depreciation Rate 5-year 7-year 10-year 15-year 20-year	(Straight Line, etc.) Straight Line Straight Line Straight Line Straight Line Straight Line	Annual Depreciation 21,387.01 331.92 46,899.59 19,125.42 296.15

# CONTRIBUTIONS IN AID OF CONSTRUCTION (Including Tap Fees)

OTHER FINANCIAL INFORMATION  Ocks issued  OCHER FINANCIAL INFORMATION  Ocks issued  OCHER FINANCIAL INFORMATION  OCKS issued  S 0  COUNTS payable \$ 0  S 38,401.00  COUNTS payable \$ 38,401.00  COUNTS payable \$ 646,123.21  SUSTAINANCIAL STRUCTIONS  SEVEN (7) copies of the application and exhibits shall be filed with the North Carolina Utilities Commission, 4325 Mail structed Center, Raleigh, North Carolina 27699-4325. Twenty-five (25) copies of a Class A or B utility company rould be filed. One of these copies must have original signature. (Applicants must make their copies if desired.) ass A and B utility companies are also required to file a written letter of intent to file a general rate case with the formission thirty (30) days in advance of filing this application. Furthermore, Class A utility companies should file a CUC Form W-1 along with this rate increase application form as required in Rule R1-17(12)(d).  Inclose a filing fee as required by G. S. §62-300. A Class A company (annual revenues of \$1,000,000 or more) quires a \$500 filing fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class C company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class C company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class C company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class C company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class C company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class A company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class A company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class A company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class A company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ong fee. A Class A company (annual revenues between \$200,000 an		EMPLOYEE SALARIES (Including Owner)			
FINANCIAL INFORMATION  Source of Loans  (For Utility Purposes) Rates 6.00% \$ 98.300.00 \$  OTHER FINANCIAL INFORMATION  tocks issued  OTHER FINANCIAL INFORMATION  OTHER FINANCIAL INFORMATION  Tocks issued  OTHER FINANCIAL INFORMATION  OTHE			During Year  \$	_	During Year
Source of Loans (For Utility Purposes) Rates Rat			- \$	-	
Source of Loans (For Utility Purposes) Rates Rat		CINANCIAL INCORMATION		5	<del></del>
Address  (For Utility Purposes)  Rates (6.00% (8.00%) (9.83.300.00) (9.8	Source of Loans	CONTRACTOR OF THE PROPERTY OF THE PARTY OF T	Interest		Amount Unpaid
OTHER FINANCIAL INFORMATION  Ocks issued  OTHER FINANCIAL INFORMATION  Ocks issued  OCCOUNTS receivable  S 38,401.00  S 38,401.00  S 446,123.21  Unstormer deposits on hand  S 0 0  S 648,123.21  Unstormer deposits on hand  S 0 0  S 648,123.21  Unstormer deposits on hand  S 0 0  S 0	to produce the second control of the second	(28)	-		
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countilated (or retained) earnings or losses  countilated (or retained) earnings or losses  s on hand  counts receivable  counts receivable  counts receivable  counts payable  counts payable  s on additional service deposits on hand  counts payable  s on the service deposits on hand  s on the service definition of the service				\$ _	
sish on hand so counts receivable \$ 0 counts receivable \$ 38,401.00 counts receivable \$ 38,401.00 counts payable \$ 646,123.21 istomer deposits on hand \$ 0 other investments \$ 0 other \$ 0 other investments \$ 0 other inves	OT	HER FINANCIAL INFORMATI	ON		
ash on hand  \$ 0  Counts receivable \$ 38,401.00 \$ 38,401.00 \$ 646,123.21  Instormer deposits on hand \$ 0  Interview of the application and exhibits shall be filed with the North Carolina Utilities Commission, 4325 Mail envice Center, Raleigh, North Carolina 27699-4325. Twenty-flive (25) copies of a Class A or B utility company tould be filed. One of these copies mugh have original signature. (Applicants must make their opies if desired.) ass A and B utility companies are also required to file a written letter of intent to file a general rate case with the immission thirty (30) days in advance of filing this application. Furthermore, Class A utility companies should file a 2012 Form W-1 along with this rate increase application form as required in Rule R1-17(12)0.  Inclose a filing fee as required by G. S. §82-300. A Class A company (annual revenues of \$1,000,000 or more) quires a \$500 filing fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ng fee. A Class C company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ng fee. A Class C company (annual revenues between \$200,000) requires a filing fee of \$100. MAKE CHECK (AVABLE TO N.C. DEPARTMENT OF COMMERCE/UTILITIES COMMISSION.  SIGNATURE  Polication shall be signed and verified by the Applicant.  This the Check of the application and in this application and the policy of the application and the applica					
scounts receivable \$ 38,401.00 counts payable \$ 646,123.21 stormer deposits on hand \$ 0 0 sterials and supplies \$ 0 0 her investments \$ 0 0  FILING INSTRUCTIONS  Preven (7) copies of the application and exhibits shall be filed with the North Carolina Utilities Commission, 4325 Mail project Center, Raleigh, North Carolina 27699-4325. Twenty-five (25) copies of a Class A or B utility company outld be filed. One of these copies must have original signature. (Applicants must make their copies if desired.) ass A and B utility companies are also required to file a written letter of intent to file a general rate case with the minission thirty (30) days in advance of filing this application. Furthermore, Class A utility companies should file a 2UC Form W-1 along with this rate increase application form as required in Rule R1-17(12)(d).  Colose a filing fee as required by G. S. §82-300. A Class A company (annual revenues of \$1,000,000 or more) quires a \$500 filing fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 file fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 filing fee of \$100. MAKE CHECK LYABLE TO N.C. DEPARTMENT OF COMMERCE/UTILITIES COMMISSION.  SIGNATURE  Signature  Signature  Signature  Signature  Signature  Address  Notary Public  This the 2 4 Ave Subaba Parama  Notary Public  Notary Public					
stormer deposits on hand \$ 0.00  stericals and supplies \$ 0.0  stericals and supplies \$ 0.0  FILING INSTRUCTIONS				\$ -	38 401 00
ustomer deposits on hand sterials and supplies				ş –	646.123.21
ther investments \$ 0 one of the application and exhibits shall be filed with the North Carolina Utilities Commission, 4325 Mail envice Center, Raleigh, North Carolina 27699-4325. Twenty-five (25) copies of a Class A or B utility company tould be filed. One of these copies must have original signature. (Applicants must make their copies if desired.) ass A and B utility companies are also required to file a written letter of intent to file a general rate case with the summission thirty (30) days in advance of filing this application. Furthermore, Class A utility companies should file a CUCF Form W-1 along with this rate increase application form as required in Rule 717(12)(d).  Take the filed. A Class B company (annual revenues between \$200,000 and \$1,000,000 or more) quires a \$500 filing fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ng fee. A Class C company (annual revenues less than \$200,000) requires a filing fee of \$100. MAKE CHECK ANABLE TO N.C. DEPARTMENT OF COMMERCE/UTILITIES COMMISSION.  SIGNATURE  Signature  Signature  Signature  Signature  Signature  Address  My Commission Expires: SIL/2A  My Commission Expires: SIL/2A	MEDISTRICT CONTINUES - SINCE SENSE SENSE SENSE SENSE SENSE CONTRACTOR CONTINUES AND ACCUSATION OF THE CONTRACTOR CONTRACT			s -	0
FILING INSTRUCTIONS  even (7) copies of the application and exhibits shall be filed with the North Carolina Utilities Commission, 4325 Mail ervice Center, Raleigh, North Carolina 27699-4325. Twenty-five (25) copies of a Class A or B utility company nould be filed. One of these copies must have original signature. (Applicants must make their copies if desired.) lass A and B utility companies are also required to file a written letter of intent to file a general rate case with the formission thirty (30) days in advance of filing this application. Furthermore, Class A utility companies should file a CUC Form W-1 along with this rate increase application form as required in Rule R1-17(12)(d).  Inclose a filing fee as required by G. S. §62-300. A Class A company (annual revenues of \$1,000,000 or more) quires a \$500 filing fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ng fee. A Class C company (annual revenues less than \$200,000) requires a filing fee of \$100. MAKE CHECK AYABLE TO N.C. DEPARTMENT OF COMMERCE/LUTILITIES COMMISSION.  SIGNATURE  Optication shall be signed and verified by the Applicant.  Signature  Application shall be signed and verified by the Applicant.  This the 24 day of Address  Notary Public  Notary Public  Notary Public  Address  My Commission Expires:  My Commission Expires:  My Commission Expires:	rance acceptance				
even (7) copies of the application and exhibits shall be filed with the North Carolina Utilities Commission, 4325 Mail envice Center, Raleigh, North Carolina 27699-4325. Twenty-five (25) copies of a Class A or B utility company outd be filed. One of these copies must have original signature. (Applicants must make their copies if desired.) ass A and B utility companies are also required to file a written letter of intent to file a general rate case with the summission thirty (30) days in advance of filing this application. Furthermore, Class A utility companies should file a CUC Form W-1 along with this rate increase application form as required in Rule R1-17(12)(d).  Inclose a filing fee as required by G. S. §62-300. A Class A company (annual revenues of \$1,000,000 or more) quires a \$500 filing fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 ng fee. A Class C company (annual revenues less than \$200,000) requires a filing fee of \$100. MAKE CHECK NYABLE TO N.C. DEPARTMENT OF COMMERCE/UTILITIES COMMISSION.  SIGNATURE  Polication shall be signed and verified by the Applicant.  Signature  Signature  Signature  Address  Notary Public  Notary Public  Address  My Commission Expires:  My Commission Expires:	her investments				
plication shall be signed and verified by the Applicant.  Signature  29/04  Date  The ped or Printed Name)  Signature  29/04  Date  The policy of Printed Name of Printed Name of Policy o	ould be filed. One of these copies pass A and B utility companies are also mmission thirty (30) days in advance	1 27699-4325. Twenty-five (2 nust have original signature. (so required to file a written lette of filing this application. Furth	5) coples of a Class A Applicants must make er of intent to file a gen ermore, Class A utility of	or B their of eral r compa	utility company copies if desired.) ate case with the
yped or Printed Name)	hould be filed. One of these copies plass A and B utility companies are also ommission thirty (30) days in advance CUC Form W-1 along with this rate includes a filing fee as required by G. Squires a \$500 filing fee. A Class B coning fee. A Class B coning fee. A Class C company (annual	n 27699-4325. Twenty-five (2 nust have original signature. (so required to file a written lette of filing this application. Furtherease application form as requested of the second of t	5) copies of a Class A Applicants must make er of intent to file a gen ermore, Class A utility of uired in Rule R1-17(12) any (annual revenues of en \$200,000 and \$1,00 requires a filing fee of	their ceral recompanies (d).	utility company copies if desired.) ate case with the anies should file a
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This the 29th day of June 2021  COCHRAN  Notary Public  Address  My Commission Expires: 51424	hould be filed. One of these copies of lass A and B utility companies are also ommission thirty (30) days in advance CUC Form W-1 along with this rate in inclose a filing fee as required by G. Sequires a \$500 filing fee. A Class B coning fee. A Class B coning fee. A Class C company (annual AYABLE TO N.C. DEPARTMENT OF	a 27699-4325. Twenty-five (2 nust have original signature. (so required to file a written lette of filing this application. Furtherease application form as requested as \$62-300. A Class A company (annual revenues between the sevenues less than \$200,000) COMMERCE/UTILITIES CONSIGNATURE	5) copies of a Class A Applicants must make er of intent to file a gen ermore, Class A utility our uired in Rule R1-17(12) any (annual revenues of en \$200,000 and \$1,00 requires a filing fee of MMISSION.	their ceral recompanies (d).	utility company copies if desired.) ate case with the anies should file a
resonally appearing before me and being first duly swom, says that the information contained in this application and in the exhibits attached hereto are true to the best of his/her knowledge and belief.  This the 2940 day of June 2021  This the 2940 have Section Rotary Public  Solve Control of the property of the pro	ass A and B utility companies are also maission thirty (30) days in advance CUC Form W-1 along with this rate includes a filing fee as required by G. Squires a \$500 filing fee. A Class B connig fee. A Class B connig fee. A Class Company (annual AYABLE TO N.C. DEPARTMENT OF	a 27699-4325. Twenty-five (2 nust have original signature. (so required to file a written lette of filing this application. Furtherease application form as requested as \$62-300. A Class A company (annual revenues between the sevenues less than \$200,000) COMMERCE/UTILITIES CONSIGNATURE	5) copies of a Class A Applicants must make er of intent to file a gen ermore, Class A utility our uired in Rule R1-17(12) any (annual revenues of en \$200,000 and \$1,00 requires a filing fee of MMISSION.	their ceral recompanies (d).	utility company copies if desired.) ate case with the anies should file a
3212 Leth Are S Ste 200 Bham, Address My Commission Expires: 5/Le/24	hould be filed. One of these copies gass A and B utility companies are alsommission thirty (30) days in advance ICUC Form W-1 along with this rate in inclose a filing fee as required by G. Sequires a \$500 filing fee. A Class B coning fee. A Class B coning fee. A Class C company (annual AYABLE TO N.C. DEPARTMENT OF	a 27699-4325. Twenty-five (2 nust have original signature. (so required to file a written lette of filing this application. Furtherease application form as requested as \$62-300. A Class A company (annual revenues between the sevenues less than \$200,000) COMMERCE/UTILITIES CONSIGNATURE	5) copies of a Class A Applicants must make er of intent to file a gen ermore, Class A utility our uired in Rule R1-17(12) any (annual revenues of en \$200,000 and \$1,00 requires a filing fee of MMISSION.	their ceral recompanies (d).	utility company copies if desired.) ate case with the anies should file a
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