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January 8, 2021

VIA ELECTRONIC FILING

Ms. Kimberley A. Campbell
Chief Clerk
North Carolina Utilities Commission
4325 Mail Service Center
Raleigh, North Carolina 27699-4300

**RE: Duke Energy Carolinas, LLC's Compliance Tariffs
NCUC Docket No. E-7, Sub 1230**

Dear Ms. Campbell:

Pursuant to Commission Rule R8-25(a) and ordering paragraph 2 of the Commission's order dated December 11, 2020 in the above-referenced docket, enclosed for filing are Duke Energy Carolinas, LLC's compliance tariffs that have been revised to reflect approved changes in rate, incorporating the revised billing factors approved by the Commission's order issued January 6, 2021, and effective for a service rendered basis on and after January 11, 2021. The compliance tariffs are provided in both clean and redlined forms. Due to the timing of the receipt of the order approving rates incorporating the revised billing factors, DEC was unable to make the necessary changes to its billing system to implement new rates reflective in customer bills as of January 1, 2021. Accordingly, I notify the Commission that, with agreement of the Public Staff of the North Carolina Utilities Commission, DEC has revised the customer notice approved in the Commission's January 6, 2021 order to reflect that changes are "effective as of January 1, 2021 *with billing to begin on a service rendered basis on and after January 11, 2021* through December 31, 2021. *Duke Energy Carolinas will true-up the rider rates between January 1, 2021 and January 11, 2021 during its next DSM and EE rider proceeding.*"

Please do not hesitate to contact me if you have any questions or need additional information.

Sincerely,

Kendrick C. Fentress

Enclosures

cc: Parties of Record

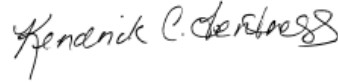
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Jan 08 2021

CERTIFICATE OF SERVICE

I certify that a copy of Duke Energy Carolinas, LLC's Compliance Tariffs, in Docket No. E-7, Sub 1230, has been served by electronic mail, hand delivery, or by depositing a copy in the United States Mail, 1st Class Postage Prepaid, properly addressed to parties of record.

This the 8th day of January, 2021.



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SUMMARY OF RIDER ADJUSTMENTS

The following is a summary of Rider Adjustments that must be added to the bill calculated on the applicable rate schedule in order to compute the bill. The factors shown below include revenue-related taxes and regulatory fees. More specific information concerning these Riders is contained in the applicable Rider listed below.

**Residential Schedules RS, RE, ES, RT, RS-CPP, RS-TOU-CPP,
RS-TOUD-DPP, RE-CPP, RE-TOU-CPP, RE-TOUD-DPP**

	<u>cents/kWh</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	8/1/18
Fuel Cost Adjustment Rider	-0.1737	9/1/20
Energy Efficiency Rider	0.5185	1/11/21
Existing DSM Program Costs Adjustment Rider	-0.0007	7/1/20
Coal Inventory Rider	0.0000	12/1/18
BPM Prospective Rider	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	6/1/20
EDIT-2 Rider	-0.5484	8/24/20
CPRE Rider	0.0067	9/1/20
TOTAL cents/kWh	-0.3556	

**General Service Schedules SGS, BC, LGS, TS, S, OPT-E, OPT-V,
PG, SGS-CPP, SGS-TOU-CPP, SGS-TOUD-DPP**

	<u>cents/kWh</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	8/1/18
Fuel Cost Adjustment Rider	-0.1314	9/1/20
Energy Efficiency Rider	0.6396	1/11/21
Existing DSM Program Costs Adjustment Rider	-0.0007	7/1/20
Coal Inventory Rider	0.0000	12/1/18
BPM Prospective Rider	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	6/1/20
EDIT-2 Rider	-0.3140	8/24/20
CPRE Rider	0.0064	9/1/20
TOTAL cents/kWh	0.0419	

Lighting Schedules PL, OL, NL

	<u>cents/kWh</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	8/1/18
Fuel Cost Adjustment Rider	-0.1314	9/1/20
Energy Efficiency Rider	0.0000	1/11/21
Existing DSM Program Costs Adjustment Rider	-0.0007	7/1/20
Coal Inventory Rider	0.0000	12/1/18
BPM Prospective Rider	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	6/1/20
EDIT-2 Rider	-1.9220	8/24/20
CPRE Rider	0.0064	9/1/20
TOTAL cents/kWh	-2.2057	

* Qualifying customers who have opted out of the Company's Energy Efficiency and/or Demand Side Management Programs are not subject to the applicable Energy Efficiency Rider charges based on the individual customer's opt-out election. Refer to Rider EE to determine the Rider EE amount, if any, applicable to customers who have opted out.

SUMMARY OF RIDER ADJUSTMENTS

<u>Industrial Schedules I, OPT-E, OPT-V, PG</u>	<u>cents/kWh</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	8/1/18
Fuel Cost Adjustment Rider	0.0376	9/1/20
Energy Efficiency Rider	0.6396	1/11/21
Existing DSM Program Costs Adjustment Rider	-0.0007	7/1/20
Coal Inventory Rider	0.0000	12/1/18
BPM Prospective Rider	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	6/1/20
EDIT-2 Rider	-0.2113	8/24/20
CPRE Rider	0.0061	9/1/20
TOTAL cents/kWh	0.3133	

<u>Schedule HP – General Service</u>	<u>cents/kWh</u>	<u>cents/kWh</u>	<u>Effective Date</u>
	<u>Baseline</u>	<u>Incremental</u>	
EDIT-1 Rider	-0.1049	-0.1049	8/1/18
Fuel Cost Adjustment Rider	-0.1314	0.0000	9/1/20
Energy Efficiency Rider	0.6396	0.6396	1/11/21
Existing DSM Program Costs Adjustment Rider	-0.0007	-0.0007	7/1/20
Coal Inventory Rider	0.0000	0.0000	12/1/18
BPM Prospective Rider	-0.0129	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	-0.0353	6/1/20
EDIT-2 Rider	-0.3140	-0.3140	8/24/20
CPRE Rider	0.0064	0.0064	9/1/20
TOTAL cents/kWh	0.0419	0.1733	

<u>Schedule HP – Industrial</u>	<u>cents/kWh</u>	<u>cents/kWh</u>	<u>Effective Date</u>
	<u>Baseline</u>	<u>Incremental</u>	
EDIT-1 Rider	-0.1049	-0.1049	8/1/18
Fuel Cost Adjustment Rider	0.0376	0.0000	9/1/20
Energy Efficiency Rider	0.6396	0.6396	1/11/21
Existing DSM Program Costs Adjustment Rider	-0.0007	-0.0007	7/1/20
Coal Inventory Rider	0.0000	0.0000	12/1/18
BPM Prospective Rider	-0.0129	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	-0.0353	6/1/20
EDIT-2 Rider	-0.2113	-0.2113	8/24/20
CPRE Rider	0.0061	0.0061	9/1/20
TOTAL cents/kWh	0.3133	0.2757	

* Qualifying customers who have opted out of the Company’s Energy Efficiency and/or Demand Side Management Programs are not subject to the applicable Energy Efficiency Rider charges based on the individual customer’s opt-out election. Refer to Rider EE to determine the Rider EE amount, if any, applicable to customers who have opted out.

SUMMARY OF RIDER ADJUSTMENTS

The following is a summary of Rider Adjustments that must be added to the bill calculated on the applicable rate schedule in order to compute the bill. The factors shown below include revenue-related taxes and regulatory fees. More specific information concerning these Riders is contained in the applicable Rider listed below.

**Residential Schedules RS, RE, ES, RT, RS-CPP, RS-TOU-CPP,
RS-TOUD-DPP, RE-CPP, RE-TOU-CPP, RE-TOUD-DPP**

	<u>cents/kWh</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	8/1/18
Fuel Cost Adjustment Rider	-0.1737	9/1/20
Energy Efficiency Rider	0.4835 <u>0.5185</u>	1/1/20 <u>11/1/21</u>
Existing DSM Program Costs Adjustment Rider	-0.0007	7/1/20
Coal Inventory Rider	0.0000	12/1/18
BPM Prospective Rider	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	6/1/20
EDIT-2 Rider	-0.5484	8/24/20
CPRE Rider	0.0067	9/1/20
TOTAL cents/kWh	-0.3906 <u>-0.3556</u>	

**General Service Schedules SGS, BC, LGS, TS, S, OPT-E, OPT-V,
PG, SGS-CPP, SGS-TOU-CPP, SGS-TOUD-DPP**

	<u>cents/kWh</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	8/1/18
Fuel Cost Adjustment Rider	-0.1314	9/1/20
Energy Efficiency Rider	0.7131 <u>0.6396</u>	1/1/20 <u>11/1/21</u>
Existing DSM Program Costs Adjustment Rider	-0.0007	7/1/20
Coal Inventory Rider	0.0000	12/1/18
BPM Prospective Rider	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	6/1/20
EDIT-2 Rider	-0.3140	8/24/20
CPRE Rider	0.0064	9/1/20
TOTAL cents/kWh	0.1154 <u>0.0419</u>	

Lighting Schedules PL, OL, NL

	<u>cents/kWh</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	8/1/18
Fuel Cost Adjustment Rider	-0.1314	9/1/20
Energy Efficiency Rider	0.0000	1/1/20 <u>11/1/21</u>
Existing DSM Program Costs Adjustment Rider	-0.0007	7/1/20
Coal Inventory Rider	0.0000	12/1/18
BPM Prospective Rider	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	6/1/20
EDIT-2 Rider	-1.9220	8/24/20
CPRE Rider	0.0064	9/1/20
TOTAL cents/kWh	-2.2057	

* Qualifying customers who have opted out of the Company's Energy Efficiency and/or Demand Side Management Programs are not subject to the applicable Energy Efficiency Rider charges based on the individual customer's opt-out election. Refer to Rider EE to determine the Rider EE amount, if any, applicable to customers who have opted out.

North Carolina Forty-~~Fifth~~^{Sixth} Revised Leaf No. 99
Effective for service rendered on and after ~~September 1, 2020~~January 11, 2021
NCUC Docket No. E-7, ~~Subs 1228 and 1231~~Sub 1230, Orders dated ~~August 19, 2020~~January 6, 2021

SUMMARY OF RIDER ADJUSTMENTS

<u>Industrial Schedules I, OPT-E, OPT-V, PG</u>	<u>cents/kWh</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	8/1/18
Fuel Cost Adjustment Rider	0.0376	9/1/20
Energy Efficiency Rider	0.71310.6396	1/1/20 ^{11/21}
Existing DSM Program Costs Adjustment Rider	-0.0007	7/1/20
Coal Inventory Rider	0.0000	12/1/18
BPM Prospective Rider	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	6/1/20
EDIT-2 Rider	-0.2113	8/24/20
CPRE Rider	0.0061	9/1/20
TOTAL cents/kWh	0.38680.3133	

<u>Schedule HP – General Service</u>	<u>cents/kWh</u> <u>Baseline</u>	<u>cents/kWh</u> <u>Incremental</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	-0.1049	8/1/18
Fuel Cost Adjustment Rider	-0.1314	0.0000	9/1/20
Energy Efficiency Rider	0.71310.6396	0.71310.6396	1/1/20 ^{11/21}
Existing DSM Program Costs Adjustment Rider	-0.0007	-0.0007	7/1/20
Coal Inventory Rider	0.0000	0.0000	12/1/18
BPM Prospective Rider	-0.0129	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	-0.0353	6/1/20
EDIT-2 Rider	-0.3140	-0.3140	8/24/20
CPRE Rider	0.0064	0.0064	9/1/20
TOTAL cents/kWh	0.11540.0419	0.24680.1733	

<u>Schedule HP – Industrial</u>	<u>cents/kWh</u> <u>Baseline</u>	<u>cents/kWh</u> <u>Incremental</u>	<u>Effective Date</u>
EDIT-1 Rider	-0.1049	-0.1049	8/1/18
Fuel Cost Adjustment Rider	0.0376	0.0000	9/1/20
Energy Efficiency Rider	0.71310.6396	0.71310.6396	1/1/20 ^{11/21}
Existing DSM Program Costs Adjustment Rider	-0.0007	-0.0007	7/1/20
Coal Inventory Rider	0.0000	0.0000	12/1/18
BPM Prospective Rider	-0.0129	-0.0129	7/1/20
BPM True-Up Rider	-0.0049	-0.0049	7/1/20
JRRR-2 Rider	-0.0353	-0.0353	6/1/20
EDIT-2 Rider	-0.2113	-0.2113	8/24/20
CPRE Rider	0.0061	0.0061	9/1/20
TOTAL cents/kWh	0.38680.3133	0.34920.2757	

* Qualifying customers who have opted out of the Company’s Energy Efficiency and/or Demand Side Management Programs are not subject to the applicable Energy Efficiency Rider charges based on the individual customer’s opt-out election. Refer to Rider EE to determine the Rider EE amount, if any, applicable to customers who have opted out.

North Carolina Forty-~~Fifth~~^{Sixth} Revised Leaf No. 99
 Effective for service rendered on and after ~~September 1, 2020~~^{January 11, 2021}
 NCUC Docket No. E-7, ~~Subs 1228 and 1231~~^{Sub 1230}, Orders dated ~~August 19, 2020~~^{January 6, 2021}

RIDER EE (NC)
ENERGY EFFICIENCY RIDER

APPLICABILITY (North Carolina Only)

Service supplied under the Company's rate schedules is subject to approved adjustments for new energy efficiency and demand-side management programs approved by the North Carolina Utilities Commission (NCUC). The Rider Adjustments are not included in the Rate Schedules of the Company and therefore, must be applied to the bill as calculated under the applicable rate.

As of January 1, 2021, cost recovery under Rider EE consists of the four-year term program, years 2014-2017, as well as rates under the continuation of that program for years 2018-2021 as outlined below. This Rider applies to service supplied under all rate schedules, except rate schedules OL, FL, PL, GL and NL for program years 2016-2021.

GENERAL PROVISIONS

This Rider will recover the cost of new energy efficiency and demand-side management programs beginning January 1, 2014, using the method approved by the NCUC as set forth in Docket No. E-7 Sub 1032, Order dated October 29, 2013, as revised by Docket No. E-7, Sub 1130, Order dated August 23, 2017.

TRUE-UP PROVISIONS

Rider amounts will initially be determined based on estimated kW and kWh impacts related to expected customer participation in the programs, and will be true-up as actual customer participation and actual kW and kWh impacts are verified. If a customer participates in any vintage of programs, the customer is subject to the true-ups as discussed in this section for any vintage of programs in which the customer participated.

RIDER EE OPT OUT PROVISION FOR QUALIFYING NON-RESIDENTIAL CUSTOMERS

The Rider EE increment applicable to energy efficiency programs and/or demand-side management programs will not be applied to the energy charge of the applicable rate schedule for customers qualified to opt out of the programs where:

- a. The customer has notified the Company that it has implemented, or has plans for implementing, alternative energy efficiency measures in accordance with quantifiable goals.
- b. Electric service to the customer must be provided under:
 1. An electric service agreement where the establishment is classified as a "manufacturing industry" by the Standard Industrial Classification Manual published by the United States Government and where more than 50% of the electric energy consumption of such establishment is used for its manufacturing processes. Additionally, all other agreements billed to the same entity associated with the manufacturing industry located on the same or contiguous properties are also eligible to opt out.
 2. An electric service agreement for general service as provided for under the Company's rate schedules where the customer's annual energy use is 1,000,000 kilowatt hours or more. Additionally, all other agreements billed to the same entity with lesser annual usage located on the same or contiguous properties are also eligible to opt out.

The following additional provisions apply for qualifying customers who elect to opt out:

For customers who elect to opt out of energy efficiency programs, the following provisions also apply:

- Qualifying customers may opt out of the Company's energy efficiency programs each calendar year only during the annual two-month enrollment period between November 1 and December 31 immediately prior to a new Rider EE becoming effective on January 1. (Qualifying new customers have sixty days after beginning service to optout.)
- Customers may not opt out of individual energy efficiency programs offered by the Company. The choice to opt out applies to the Company's entire portfolio of energy efficiency programs.
- If a customer participates in any vintage of energy efficiency programs, the customer, irrespective of future opt out decisions, remains obligated to pay the remaining portion of the lost revenues for each vintage of energy efficiency programs in which the customer participated.

RIDER EE (NC)
 ENERGY EFFICIENCY RIDER

- Customers who elect to opt out during the two-month annual enrollment period immediately prior to the new Rider EE becoming effective may elect to opt in to the Company’s energy efficiency programs during the first 5 business days of March each calendar year. Customers making this election will be back-billed retroactively to the effective date of the new Rider EE.

For customers who elect to opt out of demand-side management programs, the following provisions also apply:

- Qualifying customers may opt out of the Company’s demand-side management program during the enrollment period between November 1 and December 31 immediately prior to a new Rider EE becoming effective on January 1 of the applicable year. (Qualifying new customers have sixty days after beginning service to opt out.)
- If a customer elects to participate in a demand-side management program, the customer may not subsequently choose to opt out of demand-side management programs for three years.
- Customers who elect to opt out during the two-month annual enrollment period immediately prior to the new Rider EE becoming effective may elect to opt in to the Company’s demand-side management program during the first 5 business days of March each calendar year. Customers making this election will be back-billed to the effective date of the new Rider EE.

Any qualifying non-residential customer that has not participated in an energy efficiency or demand-side management program may opt out during any enrollment period, and has no further responsibility to pay Rider EE amounts associated with the customer’s opt out election for energy efficiency and/or demand-side management programs.

ENERGY EFFICIENCY RIDER ADJUSTMENTS (EEA) FOR ALL PROGRAM YEARS

The Rider EE amounts applicable to the residential and nonresidential rate schedules for the period January 1, 2021 through December 31, 2021 including utility assessments are as follows:

Residential

Vintage 2016 ¹ , 2017 ¹ , 2018 ¹ , 2019 ¹	0.1011 ¢ per kWh
Vintage 2018 ² , 2019 ² , 2020 ² , 2021 ²	<u>0.4174 ¢ per kWh</u>
Total Residential Rate	0.5185 ¢ per kWh

Nonresidential

Vintage 2016 ³	
Energy Efficiency	0.0193 ¢ per kWh
Demand Side Management	(0.0001) ¢ per kWh
Vintage 2017 ³	
Energy Efficiency	0.0342 ¢ per kWh
Demand Side Management	0.0000 ¢ per kWh
Vintage 2018 ³	
Energy Efficiency	0.0088 ¢ per kWh
Demand Side Management	(0.0014) ¢ per kWh
Vintage 2019 ³	
Energy Efficiency	0.0462 ¢ per kWh
Demand Side Management	0.0019 ¢ per kWh
Vintage 2020 ³	
Energy Efficiency	0.0612 ¢ per kWh
Demand Side Management	0.0000 ¢ per kWh

RIDER EE (NC)
ENERGY EFFICIENCY RIDER

Vintage 2021 ³	
Energy Efficiency	0.3495 ¢ per kWh
Demand Side Management	0.1200 ¢ per kWh
Total Nonresidential Rate	0.6396 ¢ per kWh

¹ Includes the true-up of program costs, shared savings and lost revenues from Vintages 2016, 2017, 2018 and 2019

² Includes prospective component of Vintages 2018, 2019, 2020 and 2021

³ Not Applicable to Rate Schedules OL, FL, PL, GL and NL

Each factor listed under Nonresidential is applicable to nonresidential customers who are not eligible to opt out and to eligible customers who have not opted out. If a nonresidential customer has opted out of a Vintage(s), then the applicable energy efficiency and/or demand-side management charge(s) shown above for the Vintage(s) during which the customer has opted out will not apply to the bill.

RIDER EE (NC)
ENERGY EFFICIENCY RIDER

APPLICABILITY (North Carolina Only)

Service supplied under the Company's rate schedules is subject to approved adjustments for new energy efficiency and demand-side management programs approved by the North Carolina Utilities Commission (NCUC). The Rider Adjustments are not included in the Rate Schedules of the Company and therefore, must be applied to the bill as calculated under the applicable rate.

As of January 1, ~~2020~~2021, cost recovery under Rider EE consists of the four-year term program, years 2014-2017, as well as rates under the continuation of that program for years 2018-~~2020~~2021 as outlined below. This Rider applies to service supplied under all rate schedules, except rate schedules OL, FL, PL, GL and NL for program years ~~2015-2020~~2016-2021.

GENERAL PROVISIONS

This Rider will recover the cost of new energy efficiency and demand-side management programs beginning January 1, 2014, using the method approved by the NCUC as set forth in Docket No. E-7 Sub 1032, Order dated October 29, 2013, as revised by Docket No. E-7, Sub 1130, Order dated August 23, 2017.

TRUE-UP PROVISIONS

Rider amounts will initially be determined based on estimated kW and kWh impacts related to expected customer participation in the programs, and will be true-up as actual customer participation and actual kW and kWh impacts are verified. If a customer participates in any vintage of programs, the customer is subject to the true-ups as discussed in this section for any vintage of programs in which the customer participated.

RIDER EE OPT OUT PROVISION FOR QUALIFYING NON-RESIDENTIAL CUSTOMERS

The Rider EE increment applicable to energy efficiency programs and/or demand-side management programs will not be applied to the energy charge of the applicable rate schedule for customers qualified to opt out of the programs where:

- a. The customer has notified the Company that it has implemented, or has plans for implementing, alternative energy efficiency measures in accordance with quantifiable goals.
- b. Electric service to the customer must be provided under:
 1. An electric service agreement where the establishment is classified as a "manufacturing industry" by the Standard Industrial Classification Manual published by the United States Government and where more than 50% of the electric energy consumption of such establishment is used for its manufacturing processes. Additionally, all other agreements billed to the same entity associated with the manufacturing industry located on the same or contiguous properties are also eligible to opt out.
 2. An electric service agreement for general service as provided for under the Company's rate schedules where the customer's annual energy use is 1,000,000 kilowatt hours or more. Additionally, all other agreements billed to the same entity with lesser annual usage located on the same or contiguous properties are also eligible to opt out.

The following additional provisions apply for qualifying customers who elect to opt out:

For customers who elect to opt out of energy efficiency programs, the following provisions also apply:

- Qualifying customers may opt out of the Company's energy efficiency programs each calendar year only during the annual two-month enrollment period between November 1 and December 31 immediately prior to a new Rider EE becoming effective on January 1. (Qualifying new customers have sixty days after beginning service to optout.)
- Customers may not opt out of individual energy efficiency programs offered by the Company. The choice to opt out applies to the Company's entire portfolio of energy efficiency programs.
- If a customer participates in any vintage of energy efficiency programs, the customer, irrespective of future opt out decisions, remains obligated to pay the remaining portion of the lost revenues for each vintage of energy efficiency programs in which the customer participated.

RIDER EE (NC)
 ENERGY EFFICIENCY RIDER

- Customers who elect to opt out during the two-month annual enrollment period immediately prior to the new Rider EE becoming effective may elect to opt in to the Company’s energy efficiency programs during the first 5 business days of March each calendar year. Customers making this election will be back-billed retroactively to the effective date of the new Rider EE.

For customers who elect to opt out of demand-side management programs, the following provisions also apply:

- Qualifying customers may opt out of the Company’s demand-side management program during the enrollment period between November 1 and December 31 immediately prior to a new Rider EE becoming effective on January 1 of the applicable year. (Qualifying new customers have sixty days after beginning service to opt out.)
- If a customer elects to participate in a demand-side management program, the customer may not subsequently choose to opt out of demand-side management programs for three years.
- Customers who elect to opt out during the two-month annual enrollment period immediately prior to the new Rider EE becoming effective may elect to opt in to the Company’s demand-side management program during the first 5 business days of March each calendar year. Customers making this election will be back-billed to the effective date of the new Rider EE.

Any qualifying non-residential customer that has not participated in an energy efficiency or demand-side management program may opt out during any enrollment period, and has no further responsibility to pay Rider EE amounts associated with the customer’s opt out election for energy efficiency and/or demand-side management programs.

ENERGY EFFICIENCY RIDER ADJUSTMENTS (EEA) FOR ALL PROGRAM YEARS

The Rider EE amounts applicable to the residential and nonresidential rate schedules for the period January 1, ~~2020~~2021 through December 31, ~~2020~~2021 including utility assessments are as follows:

Residential

Vintage 2015¹ , 2016 ¹ , 2017 ¹ , 2018 ¹ , <u>2019¹</u>	0.0944 <u>0.1011</u> ¢ per kWh
Vintage 2017² , 2018 ² , 2019 ² , 2020 ² , <u>2021²</u>	0.3894 <u>0.4174</u> ¢ per kWh
Total Residential Rate	0.4835 <u>0.5185</u> ¢ per kWh

Nonresidential

~~Vintage 2015³~~

Energy Efficiency	0.0064 ¢ per kWh
Demand Side Management	0.0001 ¢ per kWh

Vintage 2016³

Energy Efficiency	0.0512 <u>0.0193</u> ¢ per kWh
Demand Side Management	0.0004 <u>(0.0001)</u> ¢ per kWh

Vintage 2017³

Energy Efficiency	0.0957 <u>0.0342</u> ¢ per kWh
Demand Side Management	0.0000 ¢ per kWh

Vintage 2018³

Energy Efficiency	0.0827 <u>0.0088</u> ¢ per kWh
Demand Side Management	0.0077 <u>(0.0014)</u> ¢ per kWh

Vintage 2019³

Energy Efficiency	0.0509 <u>0.0462</u> ¢ per kWh
Demand Side Management	0.0000 <u>0.0019</u> ¢ per kWh

Vintage 2020³

North Carolina ~~Fourteenth~~-~~Fifteenth~~ Revised Leaf No. 62
 Effective for service rendered from January 1, ~~2020~~2021 through December 31, ~~2020~~2021
 NCUC Docket No. E-7, Sub ~~41921230~~, Order dated ~~October 18, 2019~~January 6, 2021

RIDER EE (NC)
 ENERGY EFFICIENCY RIDER

Energy Efficiency	0.308 <u>0.0612</u> ¢ per kWh
Demand Side Management	0.110 <u>0.0000</u> ¢ per kWh

Vintage 2021³

<u>Energy Efficiency</u>	<u>0.3495 ¢ per kWh</u>
<u>Demand Side Management</u>	<u>0.1200 ¢ per kWh</u>

Total Nonresidential Rate ~~0.713~~0.6396 ¢ per kWh

¹ Includes the true-up of program costs, shared savings and lost revenues from ~~Year 1 of Vintage 2018 and Year 2 of Vintage 2017, Year 3 of 2016 and Year 4 of 2015~~Vintages 2016, 2017, 2018 and 2019

² Includes prospective component of Vintages ~~2017, 2018, 2019 and 2020~~and 2021

³ Not Applicable to Rate Schedules OL, FL, PL, GL, and NL

Each factor listed under Nonresidential is applicable to nonresidential customers who are not eligible to opt out and to eligible customers who have not opted out. If a nonresidential customer has opted out of a Vintage(s), then the applicable energy efficiency and/or demand-side management charge(s) shown above for the Vintage(s) during which the customer has opted out, will not apply to the bill.