

**STATE OF NORTH CAROLINA  
UTILITIES COMMISSION  
RALEIGH**

DOCKET NO. M-100, SUB 140

BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

In the Matter of  
Technical and Conforming Amendments to     ) ORDER AMENDING  
Commission Rules - 2103                             ) COMMISSION RULES

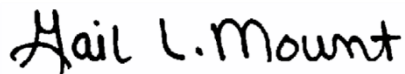
BY THE COMMISSION: The Commission is in the process of publishing a new edition of North Carolina Public Utility Laws and Regulations. After careful review of its current Rules and Regulations, the Commission finds good cause to adopt the attached non-controversial amendments to correct names of companies and agencies that have changed since the rules were last adopted or amended; to conform to various legislative changes enacted in 2013, including changes to the regulatory fee and the Commission's jurisdiction over electric membership corporations; to correct references to repealed statutes and rules and outdated Commission practice; and to correct typographical errors.

IT IS, THEREFORE, ORDERED that the revisions to Commission Rules R1-17(k); R1-32; R2-1; R2-8.1(a)(2), (a)(3)(F); R2-15(a); R6-5(1)(a); R6-25(3)(a); R7-12; R7-13; R8-13(5)-(8), (9)(g); R8-39; R8-40(a)-(c); R8-44(4)(a)-(b); R8-48(a)(5); R8-51; R8-53(b)(2)(i)-(ii), (c)(2)-(3); R8-55(b)-(c), (f); R8-60(b), (i)(9); R8-68(a), (c)(1)(i), (c)(2)(vii); R10-7; R10-16(a), (f); R15-1(f); NCUC Forms RF, RF/PSP, and Instructions; and R18-7(f)(3) set forth in Appendix A attached hereto be, and the same are hereby, adopted effective as of the date of this Order.

ISSUED BY ORDER OF THE COMMISSION.

This the 3<sup>rd</sup> day of December, 2013.

NORTH CAROLINA UTILITIES COMMISSION



Gail L. Mount, Chief Clerk

**R1-17 FILING OF INCREASED RATES, APPLICATION FOR AUTHORITY TO  
ADJUST RATES**

...

(k) Procedure for Rate Adjustments Under G.S. 62-133.4.

...

(6) Annual Review.

- (a) Annual Test Periods and Filing Dates. Each LDC shall file and submit to the Commission the information required in Section (k)(6)(c) for an historical 12-month test period. This information shall be filed by Toccoa Natural Gas on or before September 1 of each year based on a test period ended June 30. This information shall be filed by Frontier ~~Energy-Natural Gas~~, LLC, on or before December 1 of each year based on a test period ended September 30. This information shall be filed by Piedmont Natural Gas Company, Inc., on or before August 1 of each year based on a test period ended May 31. This information shall be filed by Public Service Company of North Carolina, Inc., on or before June 1 of each year based on a test period ended March 31.
- (b) Public Hearings. The Commission shall schedule an annual public hearing pursuant to G.S. 62-133.4(c) in order to compare each LDC's prudently incurred Gas Costs with Gas Costs recovered from all its customers that it served during the test period. The public hearing for Toccoa Natural Gas shall be on the first Wednesday of November. The public hearing for Frontier ~~Energy-Natural Gas~~, LLC, shall be on the first Tuesday of March. The public hearing for Piedmont Natural Gas Company, Inc., shall be on the first Tuesday of October. The public hearing for Public Service Company of North Carolina, Inc., shall be on the second Tuesday of August. The Commission, on its own motion or the motion of any interested party, may change the date for the public hearing and/or consolidate the hearing required by this section with any other docket(s) pending before the Commission with respect to the affected LDC.

**R1-32 FILING OF ANNUAL REPORTS BY PUBLIC UTILITIES**

(a) Pursuant to the provisions of G.S. 62-36 relating to annual reports by utilities, all public utilities doing business in the State of North Carolina and subject to regulation as to franchises, rates or services by the North Carolina Utilities Commission shall file annual reports of the operations of said public utility as soon as possible after the close of the calendar year, but in no event later than the 30th day of April of each year for the preceding calendar year. Such annual reports shall be under oath and shall be prepared on forms approved or furnished by the Utilities Commission for the respective utility services offered by such companies; to wit, the appropriate approved form respectively for electric service, telephone service, water service, sewer service, natural gas service,

motor carriers of household goods, motor carriers of passengers, and common carriers by water. Where prescribed by the forms furnished or approved by the Commission, such public utilities shall make such annual reports in accordance with the classification of such utility as prescribed by the instructions for said forms; to wit, Class A, Class B, or Class C utility companies, or other classifications, for the respective utility services. All operating data, financial statistics, and other accounting and financial information required for said form shall be furnished in accordance with the respective Uniform System of Accounts prescribed for the said respective utility services, unless otherwise specifically provided by the Commission. The Chief Clerk shall, immediately upon the filing of any annual report, transmit the same to the Public Staff for retention and use in accordance with its statutory duties.

## **R2-1 CARRIERS REQUIRED TO OBTAIN AND KEEP COPY OF ACT AND COPY OF COMMISSION'S RULES**

Every motor carrier shall keep at all times a copy of the Public Utilities Act and these rules and regulations. Copies of same may be obtained from the Commission ~~at the minimum cost.~~

### **R2-8.1 APPLICATIONS FOR CERTIFICATES OF EXEMPTION; TRANSFERS, AND NOTICE.**

(a) For New Applications.

...

- (2) The application shall be signed and sworn to by the applicant. If the applicant is a partnership, one partner may sign and verify for all; but the names and addresses of all partners must appear in the application and a certified copy of the partnership agreement, as filed in the county wherein the principal office of the partnership is located, must be filed with the Commission. ~~This does not alleviate the responsibility that all the partners or principals are required to submit individual certified criminal records and citizen certifications or employment authorization as set forth in Rule R2-8.1(a)(3)(f and g).~~ Trade names will not be allowed unless the names and addresses of all owners are given. If the applicant is a corporation, a duly authorized officer of the corporation must verify the application. The names and addresses of the principal managing all principals including the directors and officers of for the corporation or member-managers and nonmember managers for an LLC must be given and a certified copy of the corporate charter filed with the application. This does not alleviate the responsibility that all the partners or principals are required to individually submit completed "Authority for Release of Information" forms allowing use of principal's fingerprints for a criminal history records check, pursuant to G.S. 114-19.32, and citizen certifications or employment authorization as set forth in Rule R2-8.1(a)(3)(F and G).

- (3) Pursuant to G.S. 62-261(8), the applicant shall provide proof or certification of the following:
- (F) That the applicant or ~~all each of its partners/principals shall~~ submit ~~a certified criminal history records check for the immediately preceding 10-year period~~ (i) a completed Fingerprint Card with fingerprints that have been taken and imprinted by a law enforcement agency; (ii) a completed "Authority for Release of Information" form signed by principal consenting to use of his or her fingerprints for a criminal history records check; (iii) a money order or cashier's check in the amount due for criminal history records checks (\$38.00 per principal or as subsequently modified by the Commission), made payable to the "North Carolina Department of Commerce/Utilities Commission," to cover the Commission's direct cost of obtaining a criminal history records check; and

## **R2-15 PROOF REQUIRED**

(a) If the application is for a certificate of exemption to operate as a common carrier of household goods, the applicant shall establish by proof that the requirements of Rule R2-8.1 (a)(3) are satisfied.

## **R6-5 DATA TO BE FILED WITH THE COMMISSION**

The utility shall file with the Commission the following documents and information, and shall maintain such documents and information in a current status:

- (1) A copy of the utility's tariff, including the utility's rules, or terms and conditions describing the utility's policies and practices in rendering service. These rules shall include:
- a. The standard total heating value of the gas in ~~BTU's~~ BTUs per cubic foot. If necessary, this may be listed by district, division, or community.

## **R6-25 UTILITY INSPECTIONS AND TESTS**

Each utility shall make inspections and tests of meters and associated metering devices as follows:

...

- (3) Leak Tests. - Repaired meters, and meters that have been removed from service, shall be leak tested prior to installation. New meters shall be leak tested in accordance with a sampling method, acceptable to the Commission.
- a. Meters used for measuring low pressure gas ~~as defined in Rule R6-31(b)(4)~~ shall be tested and subjected to an internal pressure of at least 20" W.C. and checked for the presence of leaks by one of the tests listed below.

## **R7-12      QUALITY OF WATER**

(a) Every water utility shall comply with the rules of the North Carolina Department of Environment, ~~Health~~ and Natural Resources and the rules of other state and local governmental agencies governing purity of water, testing of water, operation of filter plant, and such other lawful rules as those agencies prescribe.

## **R7-13      PRESSURE REQUIREMENTS**

Each water utility shall maintain an adequate pressure for its distribution system as required by the North Carolina Department of Environment, ~~Health~~ and Natural Resources and any other state or local governmental agencies with rules pertaining to pressure requirements.

## **R8-13      PERIODIC TESTS OF METERS**

Each watt hour meter shall be tested according to the following schedule, while connected, if practical, in its permanent position in place of service:

...

- (5) Self-contained polyphase meters, up to and including 50 ~~KW.~~ kW rated capacity, shall be tested at least once every 72 months.
- (6) Self-contained polyphase meters of over 50 ~~KW.~~ kW rated capacity shall be tested at least once every 72 months.
- (7) Polyphase meters, connected through current transformers or current and potential transformers, to circuits up to and including 50 ~~KW.~~ kW rated capacity, shall be tested at least once every 48 months.
- (8) Polyphase meters, connected through current transformers or current and potential transformers, to circuits of over 50 ~~KW.~~ kW rated capacity, shall be tested at least once every 48 months.
- (9) A statistical sampling program for self-contained single-phase watt hour meters may be used by any utility in lieu of the periodic testing program specified under subdivisions (3) and (4) above provided the utility files with the Commission a statistical sampling plan which is approved by the Commission and which conforms to the following criteria:

...

- g. An acceptable sampling program is one having the property that, when applied to a meter group in which the proportion of meters with registrations greater than 102% is as high as 0.03, then the probability that the group will be judged to be satisfactory (and no corrective action taken) shall be no greater than 0.05. A sample size at least 400 meters for a plan based on the attributes method is recommended. If a variable plan is used, select a minimum sample size so that the variable plan under minimum sample size will have roughly the same ~~O.C.~~ operating characteristics curve as the attributes plan for the minimum sample size stated above. If a

group of meters does not meet the performance criteria, then an established program of corrective action shall be followed.

#### **R8-39 TRANSMISSION OF FILINGS**

Filings may be effectuated by ~~mere~~ transmission of the matter filed via covering letter, addressed to the Chief Clerk of the Commission, ~~the Commission Chairman, or the Commission Staff Electrical Engineer.~~

#### **R8-40 REPORT OF IMPENDING EMERGENCIES, LOAD REDUCTIONS AND SERVICE INTERRUPTIONS IN BULK ELECTRIC POWER SUPPLY AND RELATED POWER SUPPLY FACILITIES**

(a) Definitions. - For the purpose of this rule, a bulk electric power supply interruption shall be any interruption or loss of service to customers of any public electric utility, or electric membership corporation engaged in the generation or transmission of electric energy caused by or involving an outage of any generating unit or of electric facilities operating at a nominal voltage of 69 ~~kV~~ kV or higher. In determining the aggregate of loads which are interrupted, any load which is interrupted in accordance with the provisions of contracts permitting interruptions in service shall not be included.

(b) Telephonic Reports. - Every public electric utility and electric membership corporation engaged in the generation or transmission of electric energy shall report promptly (Monday - Friday, during regular work hours) to the Engineering Operations Division of the Commission Staff and the Electric Division of the Public Staff of the North Carolina Utilities Commission by telephone any event as described below:

- (1) Any decision to issue a public request for reduction in use of electricity.
- (2) Any action to reduce firm customer loads by reduction of voltage for reasons of maintaining adequacy of bulk electric power supply.
- (3) Any action to reduce firm customer loads by manual switching, operation of automatic loadshedding devices, or any other means for reasons of maintaining adequacy of bulk electric power supply.
- (4) Any loss in service for 15 minutes or more of bulk electric power supply to aggregate loads in excess of 200,000 ~~kw~~ kW.
- (5) Any outage in bulk power supply facilities, accident to system facilities, delays in construction, or substantial delays in making repairs following unscheduled outages that are of consequence on a subregional or State basis, or which may constitute an unusual hazard to the reliability of electric service.

(c) Telegraphic or Telephonic Reports. - Every public electric utility and electric membership corporation engaged in the generation or transmission of electric energy shall report any event as described below to the Engineering Operations Division of the Commission Staff and Electric Division of the Public Staff of the North Carolina Utilities Commission by telephone or telegram.

These reports are to be made no later than the beginning of the Commission's next regular work day (Monday -Friday) after the interruption occurred. Events requiring a report are as follows:

Any loss in service for 15 minutes or more of bulk electric power supply to aggregate loads exceeding the lesser of 100,000 ~~kw~~kW or half of the current annual system peak load, and not required to be reported under subsection (b). See subsection (d) for information to be reported.

#### **R8-44        METHOD OF ADJUSTMENT FOR RATES VARYING FROM SCHEDULE OR FOR OTHER BILLING ERRORS**

If it is found that a utility has directly or indirectly, by any device whatsoever, charged, demanded, collected or received from any consumer a greater or less compensation for any service rendered or to be rendered by such utility than that prescribed in the schedules of such utility applicable thereto then filed in the manner provided in Chapter 62 of the North Carolina General Statutes; or if it is found that any consumer has received or accepted any service from a utility for a compensation greater or less than that prescribed in such schedules; or if, for any reason, billing error has resulted in a greater or lesser charge than that incurred by the consumer for the actual service rendered, then the method of adjustment for such overcharge or undercharge shall be as provided by the following:

...

- (4) If the utility has undercharged any consumer as the result of a misapplied schedule, an error in reading the meter, a skipped meter reading, or any other human, machine, or meter error, except as provided in (3) above, then the utility shall recover the deficient amount as provided by the following:
  - a. If the interval during which a consumer having a demand of less than 50 ~~KW~~kW was undercharged can be determined, then the utility may collect the deficient amount incurred during that entire interval up to a maximum period of 150 days. For a consumer having a demand of 50 ~~KW~~kW or greater, the maximum period shall be 12 months.
  - b. If the interval during which a consumer was undercharged cannot be determined, then the utility may collect the deficient amount incurred during the 150 day period preceding the date when the billing error was discovered by the utility. For a consumer having a demand of 50 ~~KW~~kW or greater, the maximum period shall be 12 months.

**R8-48 INFORMATION TO BE PROVIDED TO NEW CONSUMERS**

(a) Each utility shall provide to each of its new consumers within sixty (60) days after commencement of service a clear and concise explanation of the rate schedule(s) applicable to such consumer. This can be accomplished in one of the following manners at the option of the utility:

...

- (5) In addition to the above, each new consumer is to be furnished either a summary description of the current procedures whereby the utility, pursuant to provisions of ~~North Carolina General Statute Section G.S. 62-134(e)~~, is permitted to increase or decrease its rates based solely upon the cost of fuel used in generation or production of power, or a copy of the Commission rule setting forth such procedures.

**R8-51 PROVISION OF PAST BILLING HISTORY UPON CONSUMER REQUEST**

Each utility, upon the request of one of its consumers, shall provide the past billing information of such consumer as provided in this rule. The minimum information which shall be provided shall include the following in an easily understood format: the name of the rate schedule under which such consumer is served; a clear specification of the months and years of data supplied (twelve month minimum); and a clear itemization of the demand billing units, basic facilities charge, ~~KWH~~ kilowatt-hour usage, and dollar amount of bills for each bill rendered during the period to which the data relates. The utility may charge up to \$5.00 for all subsequent requests for a past billing history made by the same consumer for the same service location within a twelve (12) month period.

**R8-53 MONTHLY BASE LOAD POWER PLANT PERFORMANCE REPORT**

...

(b) The monthly Base Load Power Plant Performance Report shall list each outage during the period for each fossil and/or nuclear generating unit designated herein.

...

- (2) The outage information shall be provided for each unit at the following generating plants:
- (i) ~~Carolina Power & Light Company~~ Duke Energy Progress, Inc.:
    - (A) Brunswick
    - (B) Mayo
    - (C) Robinson (Unit 2 only)
    - (D) Roxboro (Units 2, 3, 4 only)
  - (ii) ~~Duke Power, a Division of Duke Energy Corporation~~ Carolinas, LLC:
    - (A) Belews Creek
    - (B) McGuire
    - (C) Oconee



...

(c) The monthly Base Load Power Plant Performance Report shall provide summaries of the generation by each fossil and/or nuclear generation unit designated herein, with one summary for the reporting month and another summary for the 12-month period ending with the reporting month.

...

- (2) The generation summaries for each base load generating unit plus each generating unit of 500 MW or greater shall include the following information:
- (i) Maximum dependable capacity (MDC) in megawatts (MW);
  - (ii) Hours in period;
  - (iii) Total megawatt-hours (~~MWH~~MWh) possible in period;
  - (iv) ~~MWH~~MWh generated during period;
  - (v) Capacity factor (as a % of MDC);
  - (vi) Equivalent availability ((~~MWH~~MWh generation possible in period, less ~~MWH~~MWh generation not available in period) divided by ~~MWH~~MWh generation possible in period); and
  - (vii) Output factor (or ~~MWH~~MWh generated during period divided by hours of generation in period) as a % of MDC.
- (3) The generation summaries for each base load generating unit shall include, in addition to the information already listed herein, the following information:
- (i) ~~MWH~~MWh not generated during period due to full scheduled outages (in ~~MWH~~MWh and as % of total possible generation);
  - (ii) ~~MWH~~MWh not generated during period due to partial scheduled outages (in ~~MWH~~MWh and as % of total possible generation);
  - (iii) ~~MWH~~MWh not generated during period due to full forced outages (in ~~MWH~~MWh and as % of total possible generation);
  - (iv) ~~MWH~~MWh not generated during period due to partial forced outages (in ~~MWH~~MWh and as % of total possible generation);
  - (v) ~~MWH~~MWh not generated during period due to economic dispatch (in ~~MWH~~MWh and as % of total possible generation); and
  - (vi) Heat rate (in BTU per kWh).

#### **R8-55 ANNUAL HEARINGS TO REVIEW CHANGES IN THE COST OF FUEL AND FUEL-RELATED COSTS**

...

(b) For each electric public utility generating electric power by means of fossil and/or nuclear fuel for the purpose of furnishing North Carolina retail electric service, the Commission shall schedule an annual public hearing pursuant to G.S. 62-133.2(b) in order to review changes in the electric public utility's cost of fuel and fuel-related costs. The annual cost of fuel and fuel-related cost adjustment hearing for Duke Energy

Carolinas, LLC, will be scheduled for the first Tuesday of June each year; for ~~Carolina Power & Light Company, d/b/a Progress Energy Carolinas~~Duke Energy Progress, Inc., the annual hearing will be scheduled for the third Tuesday of September each year; and for Virginia Electric and Power Company, d/b/a Dominion North Carolina Power, the annual hearing will be scheduled for the second Tuesday of November each year.

(c) The test periods for the hearings to be held pursuant to paragraph (b) above will be uniform over time. The test period for Duke Energy Carolinas, LLC will be the calendar year; for ~~Progress Energy Carolinas~~Duke Energy Progress, Inc., the test period will be the 12-month period ending March 31; and for Dominion North Carolina Power, the test period will be the 12-month period ending June 30.

...

(f) The electric public utility shall file the information required under this rule, accompanied by workpapers and direct testimony and exhibits of expert witnesses supporting the information filed herein, and any changes in rates proposed by the electric public utility (if any), according to the following schedule: Duke Energy Carolinas, LLC, and ~~Progress Energy Carolinas~~Duke Energy Progress, Inc., not less than 90 days prior to the hearing; Dominion North Carolina Power, not less than 75 days prior to the hearing. Nothing in this rule shall be construed to require the electric public utility to propose a change in rates or to utilize any particular methodology to calculate any change in rates proposed by the utility in this proceeding.

## **R8-60            INTEGRATED RESOURCE PLANNING AND FILINGS**

...

(b) Applicability. - This rule is applicable to ~~Carolina Power & Light Company, d/b/a Progress Energy Carolinas~~Duke Energy Progress, Inc.; Duke Energy Carolinas, LLC; and Virginia Electric and Power Company, d/b/a Dominion North Carolina Power; ~~the North Carolina Electric Membership Corporation; and any individual electric membership corporation to the extent that it is responsible for procurement of any or all of its individual power supply resources.~~

...

(i) Contents of Reports. - Each utility shall include in each biennial report, revised as applicable in each annual report, the following:

...

- (9) Levelized Busbar Costs. - ~~Carolina Power & Light Company, d/b/a Progress Energy Carolinas, Inc.; Duke Energy Carolinas, LLC; and Virginia Electric and Power Company, d/b/a Dominion North Carolina Power~~ Each utility shall provide information on levelized busbar costs for various generation technologies.

**R8-68 INCENTIVE PROGRAMS FOR ELECTRIC PUBLIC UTILITIES AND ELECTRIC MEMBERSHIP CORPORATIONS, INCLUDING ENERGY EFFICIENCY AND DEMAND-SIDE MANAGEMENT PROGRAMS**

(a) Purpose. — The purpose of this rule is to establish guidelines for the application of G.S. 62-140(c) ~~and G.S. 62-133.9~~ to electric public utilities and electric membership corporations and G.S. 62-133.9 to electric public utilities that are consistent with the directives of those statutes and consistent with the public policy of this State as set forth in G.S. 62-2.

...

(c) Filing for Approval.

(1) Application of Rule.

- (i) Prior to an electric public utility or electric membership corporation implementing any measure or program, the purpose or effect of which is to directly or indirectly alter or influence the decision to use the electric public utility's or electric membership corporation's service for a particular end use or to directly or indirectly encourage the installation of equipment that uses the electric public utility's or electric membership corporation's service, ~~or~~ and prior to any electric power supplier to which Rule R8-60 applies implementing any new or modified demand-side management or energy efficiency measure, the electric public utility or the electric membership corporation, as applicable, shall obtain Commission approval, regardless of whether the measure or program is offered at the expense of the shareholders, ratepayers, or third-party.

...

(2) Filing Requirements. — Each application for the approval shall include:

...

- (vii) Integrated Resource Plan. — When seeking approval of a new demand-side management or new energy efficiency measure, the electric public utility ~~or electric membership corporation~~ shall explain in detail how the measure is consistent with the electric public utility's ~~or electric membership corporation's~~ integrated resource plan filings pursuant to Rule R8-60.

**R10-7 ADEQUACY OF FACILITIES**

All public sewer utilities shall comply with the rules of the North Carolina Department of Environment, ~~Health~~ and Natural Resources and the rules of other state and local governmental agencies in the design, construction, operation, and maintenance of its sewer facilities and in the collection, treatment and discharge of the sewage being treated.

**R10-16      UTILITY'S DISCONTINUANCE OF SERVICE**

(a) Violation of Rules. — Neglect or refusal on the part of a customer to comply with these rules or the utility's rules properly filed with the Commission shall be deemed to be sufficient cause for discontinuance of service on the part of the utility. Whenever sewer service is discontinued for any reason the utility shall send a report of termination of service to the local county board of health for compliance with G.S. ~~130-160~~130A-335.

...

(f) Report of Discontinuance of Service to Be Filed with Health Department. — Whenever sewer service is discontinued for any reason the utility shall send a report of termination of service to the local county board of health for compliance with G.S. ~~130-160~~130A-335.

**R15-1      REGULATORY FEE**

...

(f) *Failure to File.* Failure to complete and file the Public Utility Regulatory Fee Report (NCUC FORM RF) and to make payment of the regulatory fee as prescribed may result in the imposition of a penalty, a fine, and/or both cancellation of certificate.

NCUC FORM RF  
Revised 9/09

STATE OF NORTH CAROLINA  
UTILITIES COMMISSION  
RALEIGH

**PUBLIC UTILITY REGULATORY FEE REPORT  
FOR THE QUARTER ENDED SEPTEMBER 30, 2009  
(First Quarter of Fiscal Year 2009-2010)**

(Please Note Any Address Corrections)

| <u>Line No.</u> | <u>Description</u>   | <u>Amount</u>               |
|-----------------|--|-----------------------------|
| 1.              | Total North Carolina Jurisdictional Revenues<br>(See instruction No. 1 on reverse) | \$ <input type="text"/>     |
| 2.              | Less revenues included on Line 1<br>determined to be uncollectible                 | \$ <input type="text"/>     |
| 3.              | Revenues subject to regulatory fee<br>(Line 1 minus Line 2)                        | \$ <input type="text"/>     |
| 4.              | Statutory regulatory fee percentage rate   | <input type="text"/> 0.0012 |
| 5.              | Amount of regulatory fee due<br>(See instruction Nos. 2 & 3 on reverse)            | \$ <input type="text"/>     |

**NOTE: THE MINIMUM FEE OF \$25.00 IS DUE WITH THIS REPORT.**

CHECK NO.

Checks should be SIGNED and made payable to N C DEPT OF COMMERCE/UTILITIES COMMISSION

**CERTIFICATION**

I hereby certify that the information contained in this report is true to the best of my knowledge and belief.

**FEDERAL I.D. NO. IS REQUIRED**

\_\_\_\_\_  
Authorized Signature and Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Contact Person (Print Clearly)

( ) -  
Telephone Number

NOTE: This report and payment of the regulatory fee are due on or before November 15, 2009.  
See Instruction Nos. 4, 5, and 6 on reverse

**The Public Utility Regulatory Fee is imposed pursuant to N.C. General Statute 62-302.**

NCUC FORM RF  
Revised 9/13

STATE OF NORTH CAROLINA  
UTILITIES COMMISSION  
RALEIGH

PUBLIC UTILITY REGULATORY FEE REPORT  
FOR THE QUARTER ENDED SEPTEMBER 30, 2013  
(First Quarter of Fiscal Year 2013-2014)

| (Please Note Any Address Corrections) |  |                             |
|---------------------------------------|--|-----------------------------|
| Line No.                              | Description  | Amount                      |
| 1.                                    | Total North Carolina Jurisdictional Revenues<br>(See instruction No. 1 on reverse) | \$ <input type="text"/>     |
| 2.                                    | Less revenues included on Line 1<br>determined to be uncollectible                 | \$ <input type="text"/>     |
| 3.                                    | Revenues subject to regulatory fee<br>(Line 1 minus Line 2)                        | \$ <input type="text"/>     |
| 4.                                    | Statutory regulatory fee percentage rate   | <input type="text"/> 0.0013 |
| 5.                                    | Amount of regulatory fee due<br>(See instruction Nos. 2 & 3 on reverse)            | \$ <input type="text"/>     |

**NOTE: THE MINIMUM FEE OF \$25.00 IS DUE WITH THIS REPORT.**

CHECK NO.

Checks should be SIGNED and made payable to N C DEPT OF COMMERCE/UTILITIES COMMISSION

**CERTIFICATION**

I hereby certify that the information contained in this report is true to the best of my knowledge and belief.

FEDERAL I.D. NO. IS REQUIRED

\_\_\_\_\_  
Authorized Signature and Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Contact Person (Print Clearly)

( ) -  
\_\_\_\_\_  
Telephone Number

NOTE: This report and payment of the regulatory fee are due on or before November 15, 2013.  
See Instruction Nos. 4, 5, and 6 on reverse

**The Public Utility Regulatory Fee is imposed pursuant to N.C. General Statute 62-302.**

NCUC FORM RF

Revised ~~3/08~~ 9/13

INSTRUCTIONS

1. **The term "North Carolina jurisdictional revenues"** means all revenues derived or realized from intrastate tariffs, rates, and charges approved or allowed by the Commission or collected pursuant to Commission order or rule, but not including tap-on fees or any other form of contributions in aid of construction. For telecommunication companies, all revenues and other receipts derived from access charges and yellow page advertising are to be included as North Carolina jurisdictional revenues.
2. **The minimum annual regulatory fee for all public utilities subject to the jurisdiction of the North Carolina Utilities Commission is \$25.00.** This fee is paid with the first quarter of each new Fiscal Year (Quarter ended September 30) or the first quarter a public utility has certification. (See Instruction No. 3).
3. The amount to be shown on Line 5 is the greater of Line 3 multiplied by Line 4 or \$25.00 except as noted below:
  - (a) The minimum **annual fee of \$25.00** is due when a public utility's report for its first quarter of the fiscal year July 1, through June 30, shows that the application of the percentage rate of ~~.004213~~, would yield a quarterly fee of \$25.00 or less. The \$25.00 minimum fee is considered to be an **estimated fee for the entire fiscal year** and no further payment is required **if fiscal year revenues do not exceed ~~\$20,834.00~~ \$19,231.00** (~~\$20,834.00~~ $\times$ ~~.004213~~ $=$ \$25.00).
  - (b) If, after payment of the \$25.00 minimum fee, the public utility's subsequent quarterly report(s) show that application of the percentage rate of ~~.004213~~ would yield quarterly fees which total more than \$25.00 for the entire fiscal year, the public utility shall pay the cumulative amount of the fee resulting from application of the percentage rate, to the extent it exceeds the \$25.00 minimum fee.
  - (c) **A report for each quarter is required even if no additional fee is due.**
4. **DATE DUE** - The Public Utility Regulatory Fee Report and payment of the regulatory fee is **due on or before the 15th** day of the second month following the end of each calendar quarter. Thus, the quarterly due dates are November 15, February 15, May 15, and August 15. ~~If applicable, the~~ **The** check should be signed and made payable to **N C DEPT OF COMMERCE/ Utilities Commission, if applicable.**
5. **MAIL TO** - The Public Utility Regulatory Fee Report along with a check or money order in the amount of the regulatory fee due must be mailed to the ~~Finance and Budget Group~~ **Fiscal Management and Administrative Division, North**

**Carolina Utilities Commission, 4325 Mail Service Center, Raleigh, North Carolina 27699-4325.** Express Mail Address: 430 N Salisbury St, Rm 4223, Dobbs Bldg, Raleigh, North Carolina 27603.

6. **QUESTIONS** - For assistance in completing this report, please contact **Sharron Goodwin—Maxine McLamb** at (919) 733-5265; e-mail—**Goodwin mclamb@ncuc.net**; or write to the address contained in Instruction No. 5 above.

**FAILURE TO COMPLETE AND FILE THIS REPORT AND TO MAKE PAYMENT OF THE REGULATORY FEE AS PRESCRIBED MAY RESULT IN THE IMPOSITION OF A PENALTY, A FINE, AND/OR CANCELLATION OF CERTIFICATE.**



NCUC FORM RF/PSP  
Revised 9/09

STATE OF NORTH CAROLINA  
UTILITIES COMMISSION  
RALEIGH

PUBLIC UTILITY REGULATORY FEE REPORT FOR THE  
QUARTER ENDED SEPTEMBER 30, 2009  
(First Quarter of Fiscal Year 2009-2010)

(Please Note Any Address Corrections)

| Line No. | Description  | Amount                      |
|----------|--|-----------------------------|
| 1.       | Total North Carolina Jurisdictional Revenues<br>(See instruction No. 1 on reverse) | \$ <input type="text"/>     |
| 2.       | Less revenues included on Line 1<br>determined to be uncollectible                 | \$ <input type="text"/>     |
| 3.       | Revenues subject to regulatory fee<br>(Line 1 minus Line 2)                        | \$ <input type="text"/>     |
| 4.       | Statutory regulatory fee percentage rate   | <input type="text"/> 0.0012 |
| 5.       | Amount of regulatory fee due<br>(See instruction Nos. 2 & 3 on reverse)            | \$ <input type="text"/>     |

**NOTE: THE MINIMUM FEE of \$25.00 is DUE WITH THIS REPORT.**

CHECK NO.

Checks should be SIGNED and made payable to N C DEPT OF COMMERCE/UTILITIES COMMISSION

6. Total Number of Payphones in Operation this Quarter

**CERTIFICATION**

I hereby certify that the information contained in this report is true to the best of my knowledge and belief.

FEDERAL I.D. NO. IS REQUIRED

\_\_\_\_\_  
Authorized Signature and Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Contact Person (Print Clearly)

( ) -  
Telephone Number

**NOTE: This report and payment of the regulatory fee are due on or before November 15, 2009.**

See Instruction Nos. 5, 6, and 7 on reverse

**The Public Utility Regulatory Fee is imposed pursuant to N.C. General Statute 62-302.**

**NCUC FORM RF/PSP**

Revised 3/08

**INSTRUCTIONS**

1. ~~The term "North Carolina jurisdictional revenues" means all revenues derived or realized from intrastate tariffs, rates, and charges approved or allowed by the Commission or collected pursuant to Commission order or rule.~~
2. ~~The minimum annual regulatory fee for all public utilities subject to the jurisdiction of the North Carolina Utilities Commission is \$25.00. This fee is paid with the first quarter of each new Fiscal Year (Quarter ended September 30) or the first quarter a public utility has certification. (See Instruction No. 3).~~
3. ~~The amount to be shown on Line 5 is the greater of Line 3 multiplied by Line 4 or \$25.00 except as noted below:~~
  - (a) ~~The minimum annual fee of \$25.00 is due when a public utility's report for its first quarter of the fiscal year July 1, through June 30, shows that the application of the percentage rate of .0012, would yield a quarterly fee of \$25.00 or less. The \$25.00 minimum fee is considered to be an estimated fee for the entire fiscal year and no further payment is required if fiscal year revenues do not exceed \$20,834 (\$20,834.00 x .0012 = \$25.00).~~
  - (b) ~~If, after payment of the \$25.00 minimum fee, the public utility's subsequent quarterly report(s) show that application of the percentage rate of .0012 would yield quarterly fees which total more than \$25.00 for the entire fiscal year, the public utility shall pay the cumulative amount of the fee resulting from application of the percentage rate, to the extent it exceeds the \$25.00 minimum fee.~~
  - (c) ~~A report for each quarter is required even if no additional fee is due.~~
4. ~~Line No. 6--The data on this line should include the total number of payphones in operation at the end of this quarter. This data provides the Commission with useful information in its regulatory fee analysis work.~~
5. ~~**DATE DUE** - The Public Utility Regulatory Fee Report and payment of the regulatory fee is due on or before the 15th day of the second month following the end of each calendar quarter. Thus, the quarterly due dates are November 15, February 15, May 15, and August 15. If applicable, all checks should be signed and made payable to NC DEPT OF COMMERCE/UTILITIES COMMISSION.~~

6. ~~**MAIL TO**~~ The Public Utility Regulatory Fee Report along with a check or money order in the amount of the regulatory fee due must be mailed to the ~~**Finance and Budget Group, North Carolina Utilities Commission, 4325 Mail Service Center, Raleigh, North Carolina 27699-4325.**~~ Express Mail Address: 430 N. Salisbury St, Dobbs Bldg, Rm 4223, Raleigh, North Carolina 27603.
7. ~~**QUESTIONS**~~ For assistance in completing this report, please ~~contact Sharron Goodwin at (919)733-5265; e-mail Goodwin@ncuc.net;~~ or write to the address contained in Instruction No. 6 above.

~~**FAILURE TO COMPLETE AND FILE THIS REPORT AND TO MAKE PAYMENT OF THE REGULATORY FEE AS PRESCRIBED MAY RESULT IN THE IMPOSITION OF A PENALTY, A FINE, AND/OR CANCELLATION OF CERTIFICATE.**~~

**R18-7 DISCONNECTION; BILLING PROCEDURE; METER READING**

...

(f) Every provider shall provide to each customer at the time the lease agreement is signed, and shall maintain in its business office, in public view, near the place where payments are received, the following:

...

- (3) A statement advising tenants that they should first contact the provider's office with any questions they may have regarding bills or complaints about service, and that in cases of dispute, they may contact the Commission either by calling the Public Staff - North Carolina Utilities Commission, Consumer Services Division, at (919) 733-9277 or (866) 380-9816 or by appearing in person or writing the Public Staff - North Carolina Utilities Commission, Consumer Services Division, 4326 Mail Service Center, Raleigh, North Carolina 27699-4326.