

**STATE OF NORTH CAROLINA  
UTILITIES COMMISSION  
RALEIGH**

DOCKET NO. M-100, SUB 139

BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

In the Matter of	
Amendment to Commission Rules	) ORDER ADOPTING REVISIONS
Regarding Electronic Filing	) TO COMMISSION RULES R1-5,
	) R1-25, AND R1-28

BY THE COMMISSION: On July 12, 2022, the Commission issued an Order Proposing Revisions to Commission Rules R1-5, R1-25, and R1-28 (Proposed Revisions Order). In the Proposed Revisions Order, the Commission proposed revisions to its filing rules in order to assure legibility and system security. The Commission invited interested parties to file comments on the proposed rule changes by August 17, 2022.

On August 3, 2022, the Public Staff filed a letter stating that it has no objections to the proposed changes.

On August 16, 2022, Duke Energy Carolinas, LLC (DEC) and Duke Energy Progress, LLC (DEP) filed comments. DEC and DEP state that they support the proposed rule changes. Additionally, they offer for consideration additional rule changes relating to the number of paper copy filing requirements and the process and procedures for parties to provide work papers and supporting data in native Excel format with formulas intact.

No other parties filed comments in response to the Proposed Revisions Order.

The Commission appreciates DEC and DEP making constructive suggestions for the improvement of the Commission's processes. However, Commission declines to take action on the suggestions of DEC and DEP at this time but will consider additional changes in a future proceeding.

IT IS, THEREFORE, SO ORDERED as follows:

1. That Commission Rules R1-5, R1-25, and R1-28 are revised as set forth in Appendix A of this Order; and

2. That the Chief Clerk shall serve a copy of this Order by electronic mail on all entities regulated by the Commission.

ISSUED BY ORDER OF THE COMMISSION.

This the 14th day of October, 2022.

NORTH CAROLINA UTILITIES COMMISSION

A handwritten signature in black ink, reading "Erica N. Green". The signature is written in a cursive style with a large, stylized "E" and "G".

Erica N. Green, Deputy Clerk

**Rule R1-5. PLEADINGS, GENERALLY**

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(c) Form and Size. — All pleadings and exhibits in formal proceedings shall be printed, typewritten, or otherwise duplicated in legible form preferably using a font size of at least 12 point but in any event not less than 5 point on white paper. If a party files an exhibit for which it is not possible to meet these legibility requirements, the party shall also file a separate document summarizing or reproducing the information in a way that meets these requirements. If a party is required to provide the Commission a spreadsheet in native Excel format, the party shall (1) electronically file a version of the spreadsheet in a text-based, searchable, noncompressed Portable Document Format (i.e., PDF), if it has not already done so, and (2) shall also submit a copy of the spreadsheet in native Excel format, including all of the supporting tabs and formulas, via electronic mail addressed to NCUCExhibits@ncuc.net. Unless printed, the impression shall be on one side of the paper for the original document and double-sided for any required copies, the pages beginning with the second page shall be numbered, and the lines shall be double spaced, except quotations of two or more lines which shall be single spaced and indented. The use of paper 8-1/2 inch x 11 inch with a left margin of approximately one and one-half inches is required.

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**Rule R1-25. PROPOSED FINDINGS OF FACT, CONCLUSIONS OF LAW, AND BRIEF; SUMMARY STATEMENTS AND REPLY STATEMENTS.**

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(c) Form; Copies Required. — Rule R1-5, subsections (c) and (g) shall apply to the filing of briefs, proposed findings of fact, and conclusions of law. Parties filing briefs, proposed findings of fact, and conclusions of law shall also submit a copy of their briefs, proposed findings of fact, and conclusions of law via electronic mail addressed to briefs@ncuc.net attaching editable noncompressed files in Microsoft Word or ASCII Text format.

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**Rule R1-28. GIVING NOTICE OR FILING PAPERS WITH THE COMMISSION BY MAIL; ELECTRONIC FILING.**

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(b) An electronic filing may consist of one or multiple files. Confidential information must be redacted from public filings. Each filing must be either public or confidential, and confidential files must be so marked when filed. When a party files confidential information, the party must make two separate filings. The first is a complete copy of the confidential version of the filing with the word “confidential” in the description of the filing. The second is a public filing constituting a complete copy of the filing with confidential information redacted in such a way that the pagination of both the confidential and public filings is the same and with the word “redacted” included in the description of the filing. Except as provided in Section (e) below, do not file paper copies of documents that are filed electronically. Other provisions of any statute, rule, or order regarding the content and format of specific filings remain applicable.

(c) All documents filed electronically should be filed in a text-based, searchable, noncompressed Portable Document Format (i.e., PDF) format rather than in an image file format.

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