INFORMATION SHEET

PRESIDING: Chair Mitchell, Presiding; Commissioners Clodfelter, Duffley, Hughes, McKissick, Jr., and

Kemerait

PLACE: Raleigh, NC

DATE: Thursday, July 20, 2023 TIME: 7:00 p.m. – 7:35 p.m. DOCKET NO.: W-354, Sub 412

COMPANY: Carolina Water Service, Inc. of North Carolina

DESCRIPTION: In the Matter of Application for Approval of Business Combination between Carolina Water

Service, Inc. of North Carolina/Corix Infrastructure (US) Inc., and SW Merger Acquisition Corp.

VOLUME NUMBER: 1

APPEARANCES

See Attached

WITNESSES

See Attached

EXHIBITS

See Attached

REPORTED BY: Tonja Vines TRANSCRIPT PAGES: 31
TRANSCRIBED BY: Tonja Vines PREFILED PAGES: DATE FILED: July 26, 2023 TOTAL PAGES: 31

1	PLACE: Dobbs Building, Raleigh, North Ca	arolina
2	DATE: Thursday, July 20, 2023	
3	DOCKET NO.: W-354, Sub 412	
4	TIME: 7:00 p.m. to 7:35 p.m.	
5	BEFORE: Chair Charlotte A. Mitchell, Pres	siding
6	Commissioner Daniel G. Clodfelte:	c
7	Commissioner Kimberly W. Duffley	
8	Commissioner Jeffrey A. Hughes	
9	Commissioner Floyd B. McKissick,	Jr.
10	Commissioner Karen M. Kemerait	
11		
12		
13	IN THE MATTER OF:	
14	Application for Approval of Business Comb	oination
15	between Carolina Water Service, Inc. or	f North
16	Carolina/Corix Infrastructure (US) Inc	., and
17	SW Merger Acquisition Corp.	
18		
19		
20		
21	. VOLUME 1	
22		
23		
24		

```
1
    APPEARANCES:
 2
    FOR CAROLINA WATER SERVICE, INC. OF NORTH CAROLINA:
    Jo Anne Sanford, Esq.
 3
 4
    Sanford Law Office
 5
    721 North Bloodsworth Street
 6
    Raleigh, North Carolina 27604
 7
 8
    FOR SW MERGER ACQUISITION CORP.:
 9
    Mary Lynne Grigg, Esq.
10
    McGuireWoods, LLP
11
    501 Fayetteville Street, Suite 500
12
    Raleigh, North Carolina 27601
13
14
    FOR THE USING AND CONSUMING PUBLIC:
15
    Elizabeth Culpepper, Esq.
16
    James Bernier, Esq.
17
    Public Staff - North Carolina Utilities Commission
    4326 Mail Service Center
18
19
    Raleigh, North Carolina 27699-4300
20
21
22
23
24
```

1	TABLE OF CONTENTS	
2	EXAMINATIONS	
3	PAG	₹
4	VINCENT P. ROY	
5	Direct Statement	3
6	ALEX YANDUKIN	
7	Direct Statement	9
8	Examination by Commissioner McKissick 2	2
9	PATRICK DOUGHERTY	
10	Direct Statement 2	5
11	Examination by Chair Mitchell 2	7
12	Examination by Ms. Sanford 2	8
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		

1	EXHIBITS:
2	IDENTIFIED/ADMITTED
3	(No exhibits were presented)
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
2 4	

NORTH CAROLINA UTILITIES COMMISSION APPEARANCE SLIP

DATE:	DOCKET NO.:	
ATTORNEY NAME	and TITLE:	
		ZIP CODE:
APPEARANCE ON I	BEHALF OF:	
APPLICANT:	COMPLAINANT:	INTERVENOR:
PROTESTANT:	RESPONDENT:	DEFENDANT:
website. To view a hover over the <u>Doc</u> number, and click s	ranscripts are located or and/or print transcripts, g kets tab, select Docket Se search, select the highligh for a list of all documents	no to https://www.ncuc.net/ , earch, enter the docket number and
-	ortion if you have signed enscripts and/or exhibits:	an NDA to receive
Yes, I have s	signed the Confidentialit	ty Agreement.
Email:		
SIGNATURE:		
		ONFIDENTIAL information)

NORTH CAROLINA UTILITIES COMMISSION APPEARANCE SLIP

DATE:	DOCKET NO.:	
ATTORNEY NAME	and TITLE:	
		ZIP CODE:
APPEARANCE ON I	BEHALF OF:	
APPLICANT:	COMPLAINANT:	INTERVENOR:
PROTESTANT:	RESPONDENT:	DEFENDANT:
website. To view a hover over the <u>Doc</u> number, and click s	ranscripts are located or and/or print transcripts, g kets tab, select Docket Se search, select the highligh for a list of all documents	no to https://www.ncuc.net/ , earch, enter the docket number and
-	ortion if you have signed enscripts and/or exhibits:	an NDA to receive
Yes, I have s	signed the Confidentialit	ty Agreement.
Email:		
SIGNATURE:		
		ONFIDENTIAL information)

NORTH CAROLINA UTILITIES COMMISSION PUBLIC STAFF - APPEARANCE SLIP

DATE: July 20, 2023

DOCKET #:W-354, Sub 412

CWSNC/CORIX US/SWMAC

PUBLIC STAFF ATTORNEYS: Elizabeth D. Culpepper and James Bernier, Jr.

TO REQUEST A **CONFIDENTIAL** TRANSCRIPT, PLEASE PROVIDE YOUR EMAIL ADDRESS BELOW:

ACCOUNTING	
CONSUMER SERVICES	
COMMUNICATIONS	
ENERGY	
ECONOMICS	
LEGAL	
TRANSPORTATION	
WATER	
. 1 ' . 1 1 1 1	

elizabeth.culpepper@psnsuc.nc.gov; james.bernier@psncuc.nc.gov

Non-confidential transcripts are located on the Commission's website. To view and/or print, please access https://ncuc.net.

COUNSEL/MEMBER(s) REQUESTING A **CONFIDENTIAL** TRANSCRIPT WHO HAS SIGNED A CONFIDENTIALITY AGREEMENT WILL NEED TO SIGN BELOW.

/s/ Elizabeth D. Culpepper
/s/ James Bernier, Jr.