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1	PLACE:	Mecklenburg County Courthouse
2		Charlotte, North Carolina FILED
3	DATE:	Wednesday, July 8, 2015 JUL 16 2015
4	TIME:	7:00 p.m 7:35 p.m. Clerk's Office
5	DOCKET NO	: W-354, Sub 344 N.C. Utilities Commission
6	BEFORE:	Chairman Edward S. Finley, Jr., Presiding
7		Commissioner Bryan E. Beatty
8		Commissioner ToNola D. Brown-Bland
9		Commissioner Don M. Bailey
10		Commissioner Jerry C. Dockham
11		Commissioner James G. Patterson
12		·
13		IN THE MATTER OF:
13 14	Applicati	IN THE MATTER OF: on of Carolina Water Service, Inc., of North
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14 15 16	Carolin 60062, fo	on of Carolina Water Service, Inc., of North
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14 15 16 17	Carolin 60062, fo	on of Carolina Water Service, Inc., of North a, 2335 Sanders Road, Northbrook, Illinois r Authority to Adjust and Increase Rates for Sewer Utility Service in All of Its Service
14 15 16 17	Carolin 60062, fo	on of Carolina Water Service, Inc., of North a, 2335 Sanders Road, Northbrook, Illinois r Authority to Adjust and Increase Rates for Sewer Utility Service in All of Its Service
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15 16 17 18 19 20 21	Carolin 60062, fo	on of Carolina Water Service, Inc., of North a, 2335 Sanders Road, Northbrook, Illinois r Authority to Adjust and Increase Rates for Sewer Utility Service in All of Its Service Areas in North Carolina.

1	APPEARANCES:
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7	
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PROCEEDINGS

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CHAIRMAN FINLEY: Let's come to order, please. Good evening. My name is Edward Finley. With me this evening are Commissioners Bryan E. Beatty, ToNola D. Brown-Bland, Don M. Bailey, Jerry C. Dockham and James G. Patterson.

The Commission now calls for hearing at this time, for the purpose of taking non-expert public witness testimony, Docket Number W-354, Sub 344, In the Matter of Application of Carolina Water Service, Inc., of North Carolina, 2335 Sanders Road, Northbrook, Illinois, for Authority to Increase Rates for Water and Sewer Utility Service in All of Its Service Areas in North Carolina.

On March 31, 2015, Carolina Water Service filed an Application with the Commission seeking authority to increase its rates for providing water and sewer utility service in all of its service areas in North Carolina.

On April 30, 2015, the Commission issued its Order Establishing General Rate Case and Suspending Rates. Pursuant to the Order, the Commission declared this proceeding to be a general rate case pursuant to G.S. 62-137 and suspended the proposed new rates for

up to 270 days pursuant to G.S. 62-134.

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On May 11, 2015, Carolina Water filed its

Notice regarding its semi-annual WSIC/SSIC surcharge

application. In its filing, the Company states that

it does not intend to file an application for a

semi-annual adjustment of the Company's

Commission-authorized WSIC/SSIC surcharge mechanism on

August 1, 2015, where such rider would become

effective October 1, 2015.

On May 13, 2015, Carolina filed a letter stating that given the timing of its general rate case filing, the evidentiary hearing would normally have been set for a date near the end of August or early September 2015; however, at Carolina Water's request, the evidentiary hearing date was extended approximately 30 days to October 5, 2015.

On May 15, 2015, Corolla Light Community
Association, Inc., filed a Petition to Intervene in
this matter, and this Petition was granted by Order
dated May 19, 2015.

On May 22, 2015, the Commission issued its Order Scheduling Hearing and Requiring Customer Notice which, among other things, scheduled the Application for evidentiary hearing on October 5, 2015, and scheduled the public witness testimony for this date, at this time and in this place.

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On July 1, 2015, Carolina Water filed its ongoing three-year water service improvement charge and system -- and sewer system improvement charge plan.

Several consumer Statements of Position have been filed in this docket.

Pursuant to the State Ethics Act, I remind members of the Commission of their duty to avoid conflicts of interest, and inquire whether any member of the Commission has a known conflict of interest with regard to the matter coming us this evening?

(No response.)

Let the record reflect that no conflicts of interest have been identified.

I now call on the parties to make their appearances known for the record beginning with the Applicant Utility.

MS. MITCHELL: Good evening, Mr. Chairman and Commissioners. My name is Charlotte Mitchell with the Law Office of Charlotte Mitchell appearing on behalf of the Applicant. With me tonight is Martin Lashua, who is the Vice-President of Operations, and

Matthew Klein, who is the State Regulatory President.

MS. HOLT: Good evening. I'm Gina Holt with the Public Staff here on behalf of the Using and Consuming Public and with me tonight is Public Staff Engineer, Gina Casselberry.

CHAIRMAN FINLEY: Do we have any preliminary matters we need to address at this time?

MS. HOLT: No.

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CHAIRMAN FINLEY: All right. Ladies and gentlemen, this is a public hearing where we will take the testimony of non-expert witnesses or representatives of customers in this area. This is a judicial proceeding and so the witnesses will have to come up to the witness stand and be sworn in and give their testimony under oath. It will be taken down by the Court Reporter and it will become a record of the matter in this case. So after we have the technical part of the case in October, that evidence, along with the other evidence that's taken in the case, will be used by the Commission to deliberate upon the matter and ultimately aid in the decision. So you are welcome to come and make statements. The lawyers of the parties and the Commission Staff will have an opportunity to ask questions of you they deem

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necessary so stick around after you've made your
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     statement to see if anybody has any questions. This
 2
     is an opportunity for you, the customers, to testify.
 3
    A lot times -- it's not an open meeting and a lot of
 4
 5
     times the witnesses want to ask questions, that's
    understandable and appreciated, but this is an
 6
    opportunity for you to tell us what you want us to
 7
 8
    hear and rather than ask questions. But there are
    many representatives of the Company that are here and
 9
    representatives of the Public Staff and they will be
1.0
    available after the hearing to try to answer your
11
12
    questions to the extent they are matters that need to
    be -- need to be answered as far as your questions are
13
     concerned.
14
               Ms. Holt, will you call your first witness?
15
                          Brian Allenspach.
               MS. HOLT:
16
               MR. ALLENSPACH:
                                You got it.
17
    BRIAN ALLENSPACH;
                            was duly sworn and
18
                            testified as follows:
19
               CHAIRMAN FINLEY: Make yourself comfortable
20
21
    please.
                       DIRECT EXAMINATION
22
    BY MS. HOLT:
23
          Would you please state your name?
24
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1	A	Brian Allenspach.
2	Q	Spell it for the record, please.
3	A	The first name or the last name or both?
4	Q	Your last name.
5	A	Allenspach, A-L-L-E-N-S-P- as in Paul - A-C-H.
6	Q	And what is your address?
7	A	116 Cypress Cove Lane, Mooresville.
8	Q	And are you a customer of Carolina Water Service?
9	A	Yes.
10	Q	Please proceed with your statement.
11	A	Can we give these documents to the Commission?
12	Q	Certainly.
13	A	I think I have five of them. The data that's in
14		the document is from my bills so and sometimes
15		the rate increase happened in the middle of the
16		month in a year, so a transition period.
17		MS. HOLT: Mr. Chairman, I'd like to mark
18	this	as Allenspach Exhibit 1.
19		CHAIRMAN FINLEY: It will be so marked.
20		Allenspach Exhibit 1
21		(Identified)
22	A	I think I only brought five copies.
23	Q	Can you identify your exhibit, Mr. Allenspach?
24	A	It's the I live in the Harbour. It's a

community of about 330 people in Mooresville and Utilities, Inc., is the utility that provides us water, not sewer, just water. And so the document is bills back to 2002 to present. you can see that the water rates -- so this -these numbers are all for -- you can see the 6000 It's just a number that I gallons per month. used because it's in the middle; some people use more and some people less. So you can see in 2002 through 2006 the rate was \$28.40. Utilities, Inc., owned that utility -- I don't know how far back but they did own it when they acquired it -- but at that time they did own -- I quess they rolled it up from Carolina Water Systems -- so for that whole period of time it was \$28.40. And then in April of '06, Highstar Capital purchased the stock of Utilities, Inc., and then we had two rate increases, 35 percent and 22 percent based upon my bills at 6000 gallons per month. So they held it, Highstar Capital, I'm sure they did fine, and then Corix acquired the stock of Utilities, Inc., from them in December of 2012 and now we're having more rate increases.

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So if you go back to 2000 -- the middle of 2006 to present, without the increase that's being asked for right now, it's 80 percent. My social security has gone up one percent. The federal government, the federal reserve has poured three trillion dollars into this economy trying to get inflation at two percent, but miraculously we have a system that can generate 80 percent inflation over eight years in a time when the economy has tanked. It's impossible! I mean, the only thing you can do -- they can ask for increases all they want, I wish you would say no because it's -- it's It makes no sense. unbelievable. impossible. That's all I have to say.

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Oh, the other thing I'll say is you see we're paying right now for 6000 gallons we're paying \$51. In Charlotte that would be \$32, Davidson \$31, Mooresville \$33. I understand those are municipalities and they have different -- but other municipalities, 70 percent make money, 30 percent don't. So if they can charge half the amount of money and still not lose money, it's impossible that their costs

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didn't go up the same as Utilities, Inc. There's
 1
          just no one that could justify any of these
 2
          increases and certainly not another one.
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               CHAIRMAN FINLEY: Hold on just a second,
     sir.
           Questions?
 5
          Oh!
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    Α
    BY MS. HOLT:
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          Mr. Allenspach, what subdivision do you live?
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 9
    Α
          The Harbour.
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          And you've lived there since 2002; is that
11
          correct?
12
    Α
          Yes.
          Have you had any service problems?
13
          Not that I've complained about.
14
    A
15
          Okay.
          I don't have a problem with the service.
16
    Α
17
          not the water but it's from a well.
                                                It doesn't
          justify -- I mean, if it was great water I
18
          wouldn't want to be twice as much today as I did
19
20
          eight years ago.
               MS. HOLT:
                          Thank you.
21
22
               CHAIRMAN FINLEY:
                                 Ms. Mitchell.
                               (Shakes head from side to
               MS. MITCHELL:
23
     side.)
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1 CHAIRMAN FINLEY: Thank you, Mr. Allenspach. 2 (The witness is excused.) MS. HOLT: I'd like to move the admission of 3 Allenspach Exhibit 1. 4 5 CHAIRMAN FINLEY: Without objection, that 6 motion is allowed. ALLENSPACH Exhibit 1 7 8 (Admitted) The Public Staff calls Chessley 9 MS. HOLT: Singleton. 10 was duly sworn and 11 CHESSLEY SINGLETON; testified as follows: 12 DIRECT EXAMINATION 13 BY MS. HOLT: 14 Please state and spell your name for the record. 15 16 Chessley Singleton from the RiverPointe division. I've lived in the RiverPointe division for 26 17 We were the fifth house out there so we 18 19 have a long history with Carolina Water, or Utilities. The water was originally from wells. 20 They were very hard. They were very reactive. 21 The first year I used the well water to -- from 22 Carolina Water to irrigate, it got on the windows 23 downstairs and etched them it was so reactive. 24

We put in a softener, we just about had to. At some time later, Utilities was required to put in softeners to take out the alpha particles which exceeded the minimum for the state, as I understand. Since I was checking the incoming water on a consistent basis so I would know how to adjust my softener, I recognized that the softeners worked about 20 percent of the time. So when I would ask questions whether it was broken, we ordered parts or whatever, but it was very inconsistent.

In 2012 -- before that, the wells started going dry especially in the summertime so they tied into Charlotte-Mecklenburg on a temporary basis and then in 2012 we started getting all of our water from Charlotte-Mec.

Based on my last water bill, and there are two people in my house, and as you can tell by my age we're fairly conservative, our water bill, only water not sewer, will go from \$38 to \$45, \$46. That's a 19 percent increase. I understand that they want to pass on the same amount that they pay for it. Does that mean that they were only paying \$5.44, which was on my last

bill, for 1000 gallons and so Charlotte has gone up. That's one of my questions. The City of Charlotte, if I bought my water from them, the same amount of usage would be \$31. Ashton Park, a development at the -- a community at the Palisades which is directly across the Highway 49 from us, has their water managed by Midway Services from Clearwater, Florida. If I got my water from them it would have been \$27.42.

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Now, the total bill, water and sewer, will go from \$80 to \$96, that's a 20 percent increase; this is with the new rate from Utilities. We -- they were given a 12 percent They got a -- excuse me -increase in 2012. they got a 13 percent increase in 2013. were granted an increase in both water and sewer for upgrade very recently. I just think it's outrageous. I think that -- I just -- I can't understand why it is that the water rates are so high from Utilities when all around us it certainly is a lot cheaper. And that's all I have to say. Thank you.

CHAIRMAN FINLEY: Questions? Let's have a seat, Mr. Singleton, for a minute to see if there are

ľ any questions for you. 2 Sure. 3 CHAIRMAN FINLEY: Let's see if there are 4 questions of you. BY MS. HOLT: 5 Have you had any problems with your service other б Q than the hard water? 7 8 The only problem we have is when the water -when the electricity goes off and we have pumps 9 to pump the sewer back up, then the sewer backs 10 up because the pumps start. Now, after several 11 complaints, they did get some generators and they 12 have a generator that they can put from place to 13 place as I understand it. But that's the only 14 problem I've had recently. Other than the water 15 quality itself before we went to Charlotte-Mec. 16 MS. HOLT: Thank you. 17 MS. MITCHELL: Just a few questions. 1.8 CROSS EXAMINATION 19 BY MS. MITCHELL: 20 Mr. Singleton, we appreciate you coming out 21 tonight and sharing your comments with the 22 I have just a few questions for you 23 to follow up on some of the remarks you made. 24

1	A	Sure. Just speak up. These things don't work
2		very well.
3	Q	Okay. Mr. Singleton, you spoke about water
4		quality concerns that you had prior to the
5		interconnection with the City of Charlotte; is
6		that correct?
7	A	Prior to what?
8	Q	To the interconnection with the City of
9		Charlotte?
10	A	Yes.
11	Q	Since your community has been interconnected with
12		the City of Charlotte, do you have any concerns
13		about the water quality?
14	A	No.
15		MS. MITCHELL: Nothing further.
16	A	Nothing but the cost.
17		CHAIRMAN FINLEY: Questions by the
18	Commission?	
19	A	Anything else?
20		CHAIRMAN FINLEY: Thank you, sir.
21		MS. HOLT: Thank you.
22		(The witness is excused.)
23		MS. HOLT: Mr. Brian Lucas.
24		

l BRIAN LUCAS; was duly sworn and testified as follows: 2 DIRECT EXAMINATION 3 BY MS. HOLT: 4 Please state your name and address for the 5 record. 6 7 Α Brian Lucas. Address is 16211 Woolwine Road, Charlotte, North Carolina. 8 9 And what subdivision do you live? Q RiverPointe. 10 Д Please proceed. 11 Thank you for letting us speak tonight. 12 here -- I'm the RiverPointe President of the 13 homeowners association. I was requested by a 14 large part of our community to talk tonight just 15 on the 19.3 percent increase that has been 16 requested by Carolina Water. The question, as it 17 states, is we receive a notice, three-fourths of 18 the clients of Carolina Water are going to get a 1.9 water decrease and they asked to increase 20 RiverPointe residents by 19.3 percent, excuse me, 21 19.1 percent. 22 You heard from Chessley Singleton 23 about the water rates in and around the 24

RiverPointe residence or the RiverPointe

community, which is less than 100 feet, right

across the street of residence where their water

bill would be half of what ours is.

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I will state that we've had a great relationship with Carolina Water. They've been very -- from a board standpoint -- they've been very responsive. We certainly appreciate The water quality prior to the connection with CMUD was suspect at best. And several, including myself -- I've lived there since 2001. All of the toilets are etched. The water quality was just such that it was corroding faucets. had to put a water system in, a cleansing water system, ourselves just to make it palatable to drink and so that it wouldn't tear the rest of the house up. I certainly increase their -- or appreciate the cost that they went through to connect to CMUD; however, I feel that the 2013 increase that they got of over 12 percent, and I believe that's on the record, and then, of course, this increase of 19 percent, I'm just asking the Commission to review that and, in respect to our neighborhood and the homes in our

neighborhood, that you would reject that proposal 1 and just consider the cost of that for us on 2 3 behalf of us, and given that everybody else, it 4 appears, is getting the decrease. That's it. 5 CHAIRMAN FINLEY: Questions? 6 MS. HOLT: I have no questions. 7 MS. MITCHELL: I just a few questions. 8 Yes, ma'am. A 9 CROSS EXAMINATION 10 BY MS. MITCHELL: Thank you, Mr. Lucas, for your comments. 11 12 appreciate your time and your coming here tonight. Are you aware that the rate for usage 13 that's being requested by the Company in this 14 15 rate case is the rate that the City of Charlotte actually charges Carolina Water? 16 17 I've been told by Gina that that is the rate that 18 the City charges Carolina Water but I'm not sure that -- number one, I haven't seen that rate but 19 20 I'm unaware that that \$6.30 is the same rate that 21 Charlotte-Mec charges all of its own customers. 22 Q Understood. And are you aware that at this time 23 Carolina Water is charging you less than what the City charges Carolina Water for that water? 24

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I'm not aware of that, no.
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               MS. MITCHELL: Thank you. No further
 3
    questions.
               CHAIRMAN FINLEY: Commission questions?
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    Thank you, Mr. Lucas.
          Thank you.
 6
    A
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               CHAIRMAN FINLEY: We appreciate you coming
 8
    out tonight.
                    (The witness is excused.)
 9
10
               MS. HOLT: Mr. Bill Schell.
    WILLIAM SCHELL;
                           was duly sworn and
11
                            testified as follows:
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                       DIRECT EXAMINATION
14
    BY MS. HOLT:
          Please state your name and address for the
15
16
          record.
          Yes, it's William Schell, S-C-H-E-L-L, and it's
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    А
          15730 Eagleview Drive in RiverPointe.
18
          Thank you. Please proceed.
19
    O
          I've lived in RiverPointe since '92 so I've been
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    Α
          paying for water for that whole season and I --
21
          like Chessley, I have similar experiences. But
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          the thing here is that in 2013 we had a rate
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          increase of 13 percent. The rest of the
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community that we all live in, the cost of 1 living, salaries, everything else, looking at the 2 3 economic downturn, 13 percent I can understand possibly that, but now on top of that another 4 19 percent makes no sense to me at all. It just 5 6 seems outrageous. I haven't had a salary 7 increase in five years, six years. So, you know, I've done a lot to conserve water because our 8 9 water cost is high and I've done a good job of that, reducing my water use, but it feels like my 10 bill keeps going up so where is all the savings 11. So it feels like alls I'm doing is saving 12 water for the purpose of paying more for it than 13 So this just doesn't add up for me. 14 15 I'm just here to urge a vote against this increase. Thank you. 16 No questions. 17 MS. HOLT: Questions of Mr. Schell? 18 CHAIRMAN FINLEY: (Shakes head from side to 19 MS. MITCHELL: side.) 20 (Shakes head from side to side.) 21 MS. HOLT: Thanks for coming, 22 CHAIRMAN FINLEY: 23 Mr. Schell. (The witness is excused.) 24

Mr. Jack Ritterskamp. 1 MS. HOLT: was duly sworn and 2 JACK RITTERSKAMP; testified as follows: 3 4 DIRECT EXAMINATION 5 BY MS. HOLT: Would you please state and spell your last name 6 Q 7 for the record? Jack Ritterskamp, R-I-T-T-E-R-S-K-A-M-P. 8 Α 9 And what is your address? Q 10 5710 Hillcrest Circle, Indian Trail, North A 11 Carolina. And in what subdivision do you live? 12 13 A Hemby Bridge. 14 Q Please proceed. 15 A Thank you for allowing me to speak. I will make 16 this short and to the point as this deals with sewage, there is no sweet involved. 17 I will focus on information specific to people in my personal 18 category which is service of sewage only for the 19 flat rate service. Imagine these people have 20 brought up service that relates to their specific 21 situation and that's what I'm going to do also. 22 The summary of effective rates on 23 24 page four of the paper that was sent out to

everybody, indicates the percentage of increase for all such sewage service along with some water increases and decreases.

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I lived in the Town of Hemby

Bridge, Union County, a small city surrounded by

larger ones, mostly Indian Trail. I have lived

there for almost 40 years and have seen service

costs increase, but no other service has grown as

rapidly as Carolina Water Service sewage costs.

Let me repeat that last part: The cost of no

other service has grown as rapidly as Carolina

Water Service sewage costs.

Taxes have doubled in that length of time, but we have received new schools and have more police on the road. Water costs have risen, but being owned by the county, the water service has kept their costs in check. Not so for service -- for sewer service, since the system was sold to Carolina Water Service many years ago.

Last year in a similar proceeding,
Carolina Water Service proposed an increase of
some 40 percent from near \$40 per month to \$56.
This was allowed for a few months then reduced by

further Commission action to \$45, about a 10 percent net increase. This year, the increase will be to \$54, only \$2 less than what they asked for a year ago.

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I appreciate that it costs money to operate any facility, and that employees' wages and benefits continue to increase, but the overall cost of living, and likely the overall cost of operation for sewage service has not gone up by one-fifth in a span of 12 months, much less one-third during two years.

Looking at the metered service cost on page two for residential service indicates an increase of 20 percent also there, but that's metered service. Those of us on flat don't have the opportunity of receiving metered service. If I were paying metered service rates, that would be much less expensive than the flat rate.

At one time the sewer and water for our area were both billed by the county. At that time we paid it based on actual usage. I would very much like for Carolina Water Service to prorate my bill based on usage, or better yet,

sell our part of the system back to Union County. 1 2 In short, I object to the increased proposed costs and would like the 3 Commission to do something other than simply 4 5 allow the Company to continue to raise its rates on a year-after-year basis. Every company 6 7 deserves a chance to make a reasonable profit, 8 but the key word in this is "reasonable". definition, a 32 percent increase in two years is 9 not reasonable. Certainly, my income has come 10 nowhere near increasing by that amount, nor do I 11 know anyone else who consistently -- is 1.2 1.3 consistently seeing those types of gains. unreasonable for expenses to increase that 14 dramatically in such a relatively short period of 15 time. 16 Ouestions for CHAIRMAN FINLEY: 17 18 Mr. Ritterskamp? 19 MS. HOLT: I have no questions. (Shakes head from side to MS. MITCHELL: 20 side.) 21 Mr. Ritterskamp, who CHAIRMAN FINLEY: 22 provides your water service? 23 24 Union County.

CHAIRMAN FINLEY: Thank you, sir. Thank you Ţ 2 for coming tonight. (The witness is excused.) 3 MS. HOLT: I think that's it. We don't have 4 5 any other people listed. 6 CHAIRMAN FINLEY: Those appear to be all of the witnesses that have signed up on the Public 7 Staff's list. So let me inquire at this time if there are people in the audience who would like to testify 9 that have not yet had the opportunity to do so? 1.0 (No response.) 11 12 MS. HOLT: Excuse me, Mr. Ritterskamp does have a copy of his statement that he'd like to have 13 14 entered. 15 CHAIRMAN FINLEY: Did you read that verbatim? 16 MR. RITTERSKAMP: Almost. 17 CHAIRMAN FINLEY: Well, we'll give it to the 18 Court Reporter and to the extent that there's 19 20 something extra in there that you didn't say we'll make sure it's in the record. 21 Thank you. 22 MR. RITTERSKAMP: It appears that there are CHAIRMAN FINLEY: 23 no other people in the hearing room that would like to 24

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testify. So we appreciate you coming out tonight.
 1
    hope you will survive the rain storm, but we will
2
     conclude the hearing at this point, and thank you for
 3.
     coming.
 4
       (WHEREUPON, the proceedings adjourned at 7:35 p.m.)
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CERTIFICATE I, KIM T. MITCHELL, DO HEREBY CERTIFY that the Proceedings in the above-captioned matter were taken before me, that I did report in stenographic shorthand the Proceedings set forth herein, and the foregoing pages are a true and correct transcription to the best of my ability. Kim T. Mitchell Court Reporter II