

BEFORE THE NORTH CAROLINA UTILITIES COMMISSION
 APPLICATION FOR RATE INCREASE

INSTRUCTIONS

Notes or explanations placed in the margins of the application are acceptable. If additional space is needed, supplementary sheets may be attached. If any section does not apply, write "not applicable" or cross out the section.

APPLICANT

1. Trade name used for utility business Pluris Webb Creek, LLC
2. Name of owner (if different from trade name) _____
3. Business mailing address 5950 Berkshire Lane, Suite 800
 City and state Dallas, Texas Zip Code 75225
4. Business street address (if different from mailing address) _____
5. Business telephone number (214) 220 - 3410
6. List the counties in which you provide water utility service None in North Carolina
7. List the counties in which you provide sewer utility service Pender County, Onslow County

PROPOSED AND PRESENT RATES

	<u>Proposed Rates</u>	<u>Present Rates</u>
8. Metered Residential Service:		
Water:	<u>Not Applicable</u>	<u>Not Applicable</u>
Sewer:	<u>Not Applicable</u>	<u>Not Applicable</u>
9. Flat Rate Residential Service:		
Water:	<u>Not Applicable</u>	<u>Not Applicable</u>
Sewer:	<u>\$73.38</u>	<u>\$37.69</u>
10. Nonresidential Service (explain):		
Water:	<u>Not Applicable</u>	<u>Not Applicable</u>
Sewer:	<u>See Pluris Schedule 10f for complete list.</u>	<u>See Pluris Schedule 10f for complete list.</u>
11. Tap-on fees:		
Water:	<u>Not Applicable</u>	<u>Not Applicable</u>
Sewer:	<u>\$3,200 per SFE of 3 bedrooms or less</u>	<u>\$1,800 per SFE of 3 bedrooms or less</u>

OTHER PROPOSED RATES

12. Finance charge for late payment: In accordance with NCUC Rule R12-9.
 (NCUC Rule R12-9) specifies not more than one percent (1.0%) per month will be applied to the unpaid balance of all bills still past due 25 days after billing date.)
13. Reconnection charge if water service cut off by utility as specified in NCUC Rule R7-20: Not Applicable
14. Reconnection charge if water service discontinued at customer's request: Not Applicable
15. Reconnection charge if sewer service cut off by utility as specified in NCUC Rule R10-16: \$141.00
16. Other charges: Return Check Fee of \$25.00
17. What date are the proposed rates to become effective? December 31, 2020
18. How long have the present rates been in effect? 3 years 9 months

PROPOSED BILLING

19. Frequency of billing shall be (monthly, quarterly, etc.) Monthly
20. Billing shall be for service (in advance or arrears) In arrears
21. Bills past due 15 days after the billing dates:
 (NCUC Rule R12-9 specifies that bills shall not be past due less than fifteen (15) days after billing date).
22. Will regular billing be by written statement? (yes or no) Yes

REVENUES AND EXPENSES

For 12 Months Ended December 31, 2019 (Date)

<u>Revenues</u>	<u>Water</u>	<u>Sewer</u>
1. Residential service (flat rate)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
2. Residential service (metered rate)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
3. Nonresidential service (flat rate)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
4. Nonresidential service (metered rate)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
5. Other revenues (describe in remarks below)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
6. Total Revenues (Lines 1 thru 5)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
7. Total salaries	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
8. Administrative and office expense (except salaries)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
9. Maintenance and repair expense (except salaries)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
10. Transportation expenses	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
11. Electric power for pumping	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
12. Chemicals for treatment	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
13. Testing fees	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
14. Permit fees	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
15. Purchased water/sewer treatment	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
16. Annual depreciation	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
17. Taxes: State income taxes	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
18. Federal income taxes	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
19. Gross receipts (or franchise tax)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
20. Property taxes	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
21. Payroll taxes	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
22. Other taxes	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
23. Other expenses (describe in remarks below)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
24. Total Expenses (Lines 7 thru 23)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
25. Net Operating Income (Line 6 minus Line 24)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
26. Interest on debt during year	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>
27. Net Income (Line 25 minus Line 26)	\$ <u>Not Applicable</u>	\$ <u>See Pluris Schedule 1b</u>

Remarks

28.	_____
29.	_____
30.	_____
31.	_____
32.	_____

NUMBER OF CUSTOMERS SERVED
(at end of month)

	<u>Water</u>			<u>Sewer</u>		
	<u>Flat Rate Customers</u>	<u>Metered Customers</u>	<u>Gallons Sold to Metered Custs.</u>	<u>Flat Rate Customers</u>	<u>Metered Customers</u>	<u>Gallons Sold to Metered Custs.</u>
33. January	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
34. February	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
35. March	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
36. April	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
37. May	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
38. June	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
39. July	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
40. August	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
41. September	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
42. October	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
43. November	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>
44. December	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>Not Applicable</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>	<u>See Pluris Schedule 26</u>

ORIGINAL COST OF UTILITY SYSTEM
As of Year Ended December 31, 2019 (Date)

Note: List the total original cost to construct and establish the system, whether or not paid for by the Present Owner.

	<u>Balance at End of Year</u>	
	<u>Water</u>	<u>Sewer</u>
<u>Utility Property in Service</u>		
1. Land and rights-of-way	\$ Not Applicable	\$ See Pluris Schedule 1a
2. Structures and site improvement	\$ Not Applicable	\$ See Pluris Schedule 1a
3. Wells	\$ Not Applicable	\$ See Pluris Schedule 1a
4. Pumping equipment	\$ Not Applicable	\$ See Pluris Schedule 1a
5. Treatment equipment	\$ Not Applicable	\$ See Pluris Schedule 1a
6. Storage tanks	\$ Not Applicable	\$ See Pluris Schedule 1a
7. Mains (excluding service connections)	\$ Not Applicable	\$ See Pluris Schedule 1a
8. Service connections	\$ Not Applicable	\$ See Pluris Schedule 1a
9. Meters (including spare meters)	\$ Not Applicable	\$ See Pluris Schedule 1a
10. Office furniture and equipment	\$ Not Applicable	\$ See Pluris Schedule 1a
11. Transportation equipment	\$ Not Applicable	\$ See Pluris Schedule 1a
12. Other utility property in service (describe in remarks below)	\$ Not Applicable	\$ See Pluris Schedule 1a
13. Total utility property in service (Lines 1 thru 12)	\$ Not Applicable	\$ See Pluris Schedule 1a
14. Less: accumulated depreciation	\$ Not Applicable	\$ See Pluris Schedule 1a
15. Less: accumulated tap fees and other contributions in aid of construction	\$ Not Applicable	\$ See Pluris Schedule 1a
16. Less: customer advances	\$ Not Applicable	\$ See Pluris Schedule 1a
17. Net investment in utility property (Line 13 minus 14, 15, & 16)	\$ Not Applicable	\$ See Pluris Schedule 1a

	<u>Balance at End of Year</u>	
	<u>Water</u>	<u>Sewer</u>
<u>Utility Property Not in Service</u>		
18. Construction work in progress	\$ Not Applicable	\$ None
19. Property held for future use	\$ Not Applicable	\$ None
20. Other (describe in remarks below)	\$ Not Applicable	\$ None

Remarks

- 21. _____
- 22. _____
- 23. _____
- 24. _____

ANNUAL DEPRECIATION

- 25. If annual depreciation is claimed using a composite rate for the entire system, show composite rate used:
Water: Not Applicable
Sewer: Individual rates used
- 26. If annual depreciation is claimed using individual rates for each type of equipment, show rates of depreciation used:

<u>Type of Equipment</u>	<u>Annual Depreciation Rate</u>	<u>Method Used (Straight Line, etc.)</u>	<u>Amount of Annual Depreciation</u>
Organization	4.00%	Straight Line	_____
Franchises	4.00%	Straight Line	_____
Structures & Improvements	2.85%	Straight Line	_____
Power Generation Equip	2.85%	Straight Line	_____
Collection Sewers-Force / Gravity	2.85%	Straight Line	_____
Special Collecting Structures	2.85%	Straight Line	_____
Pumping Equipment	2.85%	Straight Line	_____
Treatment & Disposal Equipment	2.85%	Straight Line	_____
Plant Sewer	2.85%	Straight Line	_____
Other Plant/Misc Equip/Tools/Power Operated Equipment	2.85%	Straight Line	_____
Office/Transportation/Lab/Communication Equipment	20.00%	Straight Line	_____

CONTRIBUTIONS IN AID OF CONSTRUCTION
(Including Tap Fees)

1. Has the utility collected any gross-up on contributions in aid of construction (CIAC), such as contributed plant and tap fees, since the test year of its last rate case? (yes or no) Yes _____

EMPLOYEE SALARIES
(Including Owner)

	<u>Name</u>	<u>Duties</u>	<u>Salaries Paid During Year</u>	<u>Hours Worked During Year</u>
2.	See CONFIDENTIAL Pluris Schedule 17	See CONFIDENTIAL Pluris Schedule 17	\$ See CONFIDENTIAL Pluris Schedule 17	See CONFIDENTIAL Pluris Schedule 17
3.	See CONFIDENTIAL Pluris Schedule 17	See CONFIDENTIAL Pluris Schedule 17	\$ See CONFIDENTIAL Pluris Schedule 17	See CONFIDENTIAL Pluris Schedule 17
4.	_____	_____	\$ _____	_____

FINANCIAL INFORMATION

	<u>Source of Loans (For Utility Purposes)</u>	<u>Interest Rates</u>	<u>Amount Unpaid End of Year</u>
5.	None	_____	\$ None
6.	_____	_____	\$ _____
7.	_____	_____	\$ _____

OTHER FINANCIAL INFORMATION

- | | | |
|-----|--|---------------------------|
| 8. | Stocks issued | \$ See Pluris Schedule 1a |
| 9. | Accumulated (or retained) earnings or losses | \$ See Pluris Schedule 1a |
| 10. | Cash on hand | \$ See Pluris Schedule 1a |
| 11. | Accounts receivable | \$ See Pluris Schedule 1a |
| 12. | Accounts payable | \$ See Pluris Schedule 1a |
| 13. | Customer deposits on hand | \$ See Pluris Schedule 1a |
| 14. | Materials and supplies | \$ See Pluris Schedule 1a |
| 15. | Other investments | \$ See Pluris Schedule 1a |

FILING INSTRUCTIONS

16. Seven (7) copies of the application and exhibits shall be filed with the North Carolina Utilities Commission, 4325 Mail Service Center, Raleigh, North Carolina 27699-4325. **Twenty-five (25) copies of a Class A or B utility company should be filed.** One of these copies must have original signature. (Applicants must make their copies if desired.) Class A and B utility companies are also required to file a written letter of intent to file a general rate case with the Commission thirty (30) days in advance of filing this application. Furthermore, Class A utility companies should file a NCUC Form W-1 along with this rate increase application form as required in Rule R1-17(12)(d).
17. Enclose a filing fee as required by G. S. §62-300. A Class A company (annual revenues of \$1,000,000 or more) requires a \$500 filing fee. A Class B company (annual revenues between \$200,000 and \$1,000,000) requires a \$250 filing fee. A Class C company (annual revenues less than \$200,000) requires a filing fee of \$100. **MAKE CHECK PAYABLE TO N.C. DEPARTMENT OF COMMERCE/UTILITIES COMMISSION.**

SIGNATURE

18. Application shall be signed and verified by the Applicant.



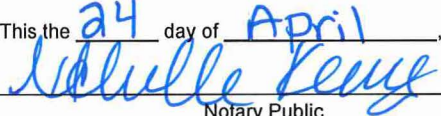
 Signature
 4/24/2020

 Date

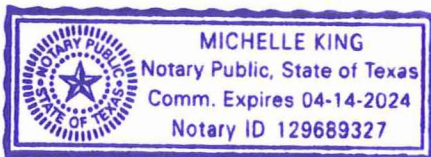
19. (Typed or Printed Name) Daniel Winters

personally appearing before me and being first duly sworn, says that the information contained in this application and in the exhibits attached hereto are true to the best of his/her knowledge and belief.

This the 24 day of April, 2020.



 Notary Public



5950 Berkshire Lane, Suite 800, Dallas, Texas 75225

Address

My Commission Expires: 4-24-20
Date