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Clerk's Office  
N.C. Utilities Commission

INFORMATION SHEET

PRESIDING: Ron Brown

PLACE: Briar Chapel Clubhouse, Chapel Hill, NC

DATE: March 31, 2015

TIME: 7:25 p.m. – 7:55 p.m.

DOCKET NO.: W-1300, Sub 9 and W-1230, Sub 1

COMPANY: Old North State Water Company and Briar Chapel Utilities, LLC

DESCRIPTION: Application by Old North State Water Company, LLC, 1620 Old Chalk Road, Wake Forest, NC 27587, and Briar Chapel Utilities, LLC, 16 Windy Knoll Circle, Chapel Hill, NC 27516, for Authority to Transfer Sewer Franchise in Briar Chapel Subdivision in Chatham County, North Carolina, to Old North State Water Company, LLC, and for Approval of Rates.

VOLUME:

APPEARANCES

FOR OLD NORTH STATE WATER COMPANY:

Laurence A. Cobb, Esq.

FOR BRIAR CHAPEL UTILITIES, LLC:

Karen M. Kemerait, Esq.

FOR THE USING AND CONSUMING PUBLIC:

William E. Grantmyre, Esq.

WITNESSES

Richard Lucier

Michael Myers

Babette McKemie

EXHIBITS

✓Applicant's Exhibit 1 (I/A)

✓McKemie Exhibit 1 (I/A)

✓Bradley Exhibit 1 (I/A)

✓Craig Exhibit 1 (I/A)

JUDICIAL NOTICE

COPIES ORDERED: Grantmyre - (email); Kemerait - (email)

REPORTED BY: Kim Mitchell

TRANSCRIBED BY: Kim Mitchell

DATE TRANSCRIBED: April 13, 2015

TRANSCRIPT PAGES: 27

PREFILED PAGES: 1

**NORTH CAROLINA UTILITIES COMMISSION**  
**APPEARANCE SLIP**

DATE 3/31/2015 DOCKET #: W-1230, Sub 1 : W-1300, Sub 9  
NAME AND TITLE OF ATTORNEY Karen Kemerair  
FIRM NAME Storer & Kemerair PLLC  
ADDRESS 1101 Hargett St. Ste 101  
CITY Raleigh NC ZIP 27604

**APPEARING FOR:**


APPLICANT	<input checked="" type="checkbox"/>	COMPLAINANT	<input type="checkbox"/>	INTERVENER	<input type="checkbox"/>
PROTESTANT	<input type="checkbox"/>	RESPONDENT	<input type="checkbox"/>	DEFENDANT	<input type="checkbox"/>

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Name: Karen Kemerair  
Phone #: 919 - 600 - 6275  
Email:                                 

Signature:   
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**NORTH CAROLINA UTILITIES COMMISSION**  
**APPEARANCE SLIP**

DATE 3/31/15 DOCKET #: W-1300, Sub 9; W-1230, Sub 1  
NAME AND TITLE OF ATTORNEY Laurence Cobb  
FIRM NAME Nexsen Proet Pelt  
ADDRESS 4141 Parklake Avenue, Suite 200  
CITY Raleigh ZIP 27612

**APPEARING FOR:**

APPLICANT ☒ Old North COMPLAINANT \_\_\_\_\_ INTERVENER \_\_\_\_\_  
PROTESTANT \_\_\_\_\_ RESPONDENT \_\_\_\_\_ DEFENDANT \_\_\_\_\_

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**NORTH CAROLINA UTILITIES COMMISSION**  
**PUBLIC STAFF - APPEARANCE SLIP**

DATE 03/31/15 DOCKET #: W-1300, Sub 9

PUBLIC STAFF MEMBER William E. Grantmyre, Staff Attorney

ORDER FOR TRANSCRIPT OF TESTIMONY TO BE **EMAILED** TO THE  
PUBLIC STAFF - PLEASE INDICATE YOUR DIVISION AS WELL AS  
YOUR EMAIL ADDRESS BELOW:

ACCOUNTING \_\_\_\_\_  
WATER \_\_\_\_\_  
COMMUNICATIONS \_\_\_\_\_  
ELECTRIC \_\_\_\_\_  
GAS \_\_\_\_\_  
TRANSPORTATION \_\_\_\_\_  
ECONOMICS \_\_\_\_\_  
**LEGAL** william.grantmyre@psncuc.nc.gov  
CONSUMER SERVICES \_\_\_\_\_

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All Public Staff personnel working on case.

  
\_\_\_\_\_  
Signature of Public Staff Member

Applicant E \* 1  
I/A

COUNTY OF CHATHAM  
STATE OF NORTH CAROLINA

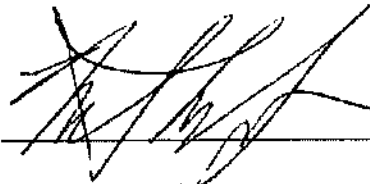
I, Michael Mehringer, being duly sworn, depose and state:

1. I am a resident of the State of North Carolina, I am over the age of twenty one, and I am competent to make this Affidavit. The facts set forth in this Affidavit are true and correct and are within my personal knowledge.
2. I am the Project Manager for Homes By Dickerson for the Briar Chapel Development. My work address is 7201 Creedmoor Road, Suite 147, Raleigh, North Carolina 27613, and my email address is [mike@homesbydickerson.com](mailto:mike@homesbydickerson.com)
3. Homes by Dickerson is the primary builder of homes in Briar Chapel.
4. One of my responsibilities as Project Manager for Briar Chapel is to provide information and documentation to property owners in Briar Chapel with grinder pumps on the properties that they own the grinder pumps and are responsible for the maintenance and upkeep of the grinder pumps.
5. Specifically, Homes by Dickerson provides each property owner in which a grinder pump has been installed on the property with a Property Owner Guide to Grinder Pump Operation ("Grinder Pump Guide") and an ABS Piranha Submersible Grinder Pump Installation and Operations Instructions ("Manufacturer's Installation and Operations Instructions"). The Grinder Pump Guide is attached hereto as Exhibit "A", and the Manufacturer's Installation and Operations Instructions is attached hereto as Exhibit "B".
6. The Grinder Pump Guide provides notice to the homeowner that he or she is responsible for maintenance of the grinder pump. It states: "The property owner is responsible for

maintenance of the grinder pump. BCU recommends an annual inspection and cleaning of each grinder pump.”

7. Homes By Dickerson also provides each property owner with a list of warranty and telephone numbers that includes a telephone number for grinder pump issues. The list of warranty and telephone numbers is attached hereto as Exhibit “C”.
8. In addition, Homes By Dickerson explains to the home purchasers during the pre-closing walk-through of the homes that they will own and be responsible for the grinder pumps.

This the 30<sup>th</sup> day of March, 2015.

  
\_\_\_\_\_  
Michael Mehringer

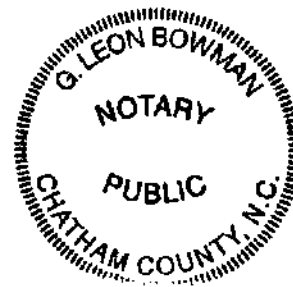
STATE OF NORTH CAROLINA  
COUNTY OF CHATHAM

I, G. Leon Bowman, a Notary Public of the aforesaid County and State,  
do hereby certify that Michael Mehringer personally appeared before me this day and  
acknowledged the execution of the foregoing instrument.

Witness my hand and official notarial seal, this 30<sup>th</sup> day of March, 2015.

G. Leon Bowman  
Notary Public

My Commission Expires: 9/10/19



## EXHIBIT A

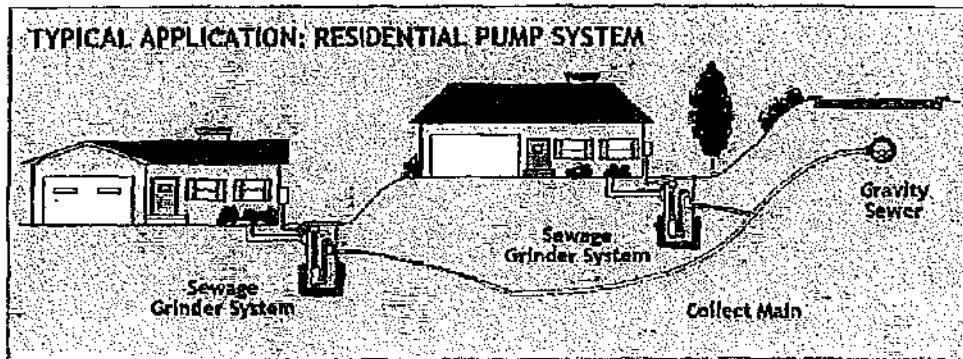


## Property Owner Guide to Grinder Pump Operation

### What is a residential grinder pump and why do I have one?

The residential grinder pump serving your home is an important part of the larger community sewer collection system. The pump grinds the sewage from your home (much like the garbage disposal in your kitchen) and pushes it through small plastic pipes to the larger lines in the street. A residential grinder pump is often installed when the home's sewer system is at a lower elevation than the main wastewater line.

A sewage holding tank has been installed underground on your property and a sewage grinder pump is housed in the tank. The tank cover is round and is the only part that shows above the ground. All of the wastewater from your home flows into the buried tank. When the tank fills to a certain level, the grinder pump turns on automatically. The grinder pump normally will run for one or two minutes and will automatically turn off when the tank has been emptied. The pump is programmed to operate in cycles, rather than continuously. Cycles are determined by the amount of water used. During a usual day, the grinder pump will turn on and off about 5 or 6 times. While the pump is running you may hear a low humming noise. Excessive noise may indicate a problem and you should call the non-emergency number.



#### **How can I help to maintain my grinder pump?**

The grinder pump can handle any wastewater that is normally discharged to the sewer from the kitchen, bathroom, or laundry. Some chemicals and materials may cause operating problems or safety hazards.

**Never put any of the following materials into sinks, toilets or drains:**

- Cooking fat (lard, oil, grease)
- Glass, metal, wood, seafood shells
- Diapers, socks, rags or cloth of any kind
- Plastic objects (toys, eating utensils, etc.)
- Any strong chemical, toxic, caustic, or poisonous substance
- Degreasing solvents
- Any explosive or flammable material
- Gasoline, kerosene, fuel oil, paint thinner, antifreeze
- Lubricating oil or grease
- Hair clippings or kitty litter

These materials may clog your home system or create unsafe conditions in your lines and tank!

Note: BCU is not responsible for any expenses incurred due to negligence by the property owner in maintaining the grinder pump.

#### **What other maintenance is suggested for the grinder pump?**

The property owner is responsible for maintenance of the grinder pump. BCU recommends an annual inspection and cleaning of each grinder pump.

#### **What are some special situations that may arise?**

##### **If the Alarm Sounds:**

If there is a grinder pump failure, the tank which contains the pump will become too full. An alarm horn and light located in the alarm box on the outside of your home will automatically activate. You should:

- Discontinue water use until the unit is safe for use. This will help to prevent overflows.
- Silence the alarm by depressing the alarm light on the front of the alarm box. The alarm horn should silence but the light will remain on until the grinder pump is repaired.
- Wait fifteen minutes before taking further action. A high level of water usage will sometimes cause a false alarm. If the pump is operating correctly, the tank will automatically be pumped down and the alarm light will turn off.
- If the alarm light is still on after fifteen minutes, call our 24-hour emergency dispatcher at 252.235.4900. Inform the representative that you are in the Briar Chapel Community and that the grinder pump alarm light serving your property is alarming.

- Never attempt to open the tank cover or the electrical panel box. **CAUTION:** Electrical shock or damage to the system may occur.
- There is no trip charge for the first call. Envirolink, Inc. will assess the alarm condition and inform the property owner of appropriate remedies.
- Multiple trips to the same property (more than one trip per year) will result in trip charges. Charges may apply if your pump failure was caused by property owner negligence. See above section for materials that constitute negligence.
- Repair fees are the responsibility of the property owner.
- In the event a property owner fails to complete the required repairs, repairs will be made and the cost of the repair plus administration fees will be added to the property owner's monthly sewer bill.

#### **In Case of Power Failure:**

If there is a power failure which affects your home, your grinder pump will also experience a loss of power and not be able to operate. The grinder pump tank has more than a day's holding capacity, but interior water use should be limited until power has been restored.

#### **A few more important notes:**

- Electric power, alarm wires, and the sewer line are buried under the ground between the home and the tank. Before digging in the area, call 811 to have a technician locate the lines.
- The grinder pump has a small breather vent located on the top of the tank. It is important to keep this vent open and free of debris, such as mulch or grass.
- In the event BCU has to complete the repair due to property owner inaction, BCU will not be responsible for any damage to landscaping or items placed adjacent to the grinder pump station while performing any maintenance function.
- If your home is newly constructed and less than one year old, then your grinder pump may still be under warranty through your builder. Please let BCU know that the unit is less than one year old and provide them with the contact information for your builder and/or the installer. Any problems you experience with the grinder pump system during that period should be referred to the builder or their representative.
- If you are going to be away from home for more than thirty (30) days, please contact Envirolink for some tips on how to minimize your pump's operation while you are away.
- Never connect a sump pump to the grinder pump.
- **Non-emergency contact number: 252 235-4900; 8:00 am – 5:00 pm**
- Non-emergencies include situations such as to lawnmower damage to vents or general questions.

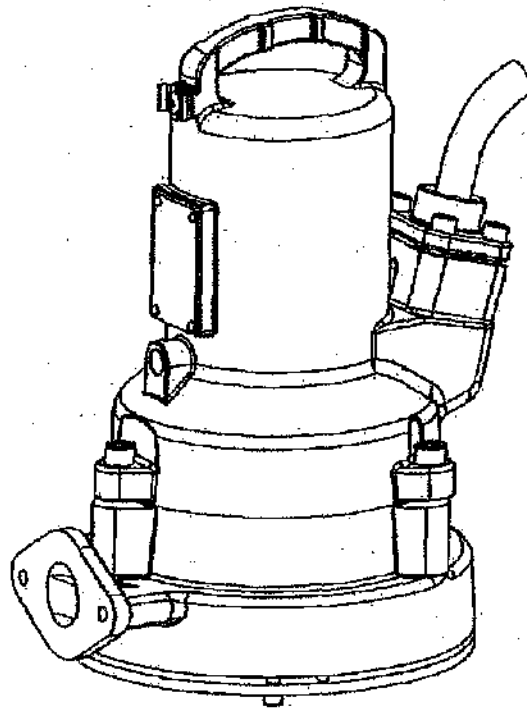
## EXHIBIT B



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## ABS Piranha submersible grinder pump

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15975074GB (03/2006)



### Installation and Operating Instructions

[www.absgroup.com](http://www.absgroup.com)



# ABS Piranha submersible grinder pump

50Hz		50Hz	
S10/2	M30/2	S10/4	M25/2
S12/2	M55/2	S20/2	M35/2
S13/4	M70/2	S26/2	M46/2
S17/2	M85/2	S30/2	M50/2
S21/2	M110/2		M70/2
S26/2			M80/2
			M100/2
			M125/2

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## 1 General

### 1.1 Application areas

**ATTENTION** The maximum allowable temperature of the medium pumped is 40°C

Piranha-submersible pumps have been designed for the pumping of sewage containing faecal matter from buildings and sites where the location is below the sewer level.

In addition the Piranha submersible pumps are ideal for effective and economical pressurised dewatering via pipes of small cross-sectional area in private, municipal, and industrial applications.

The regulations of DIN EN 12056-4 as well as other local codes should be observed.

#### 1.1.1 Explosion-proof approval

The motors of the Piranha series have explosion-proof certification in accordance with EEx d IIB T4

#### 1.1.2 Particular comments on the use of explosion-proof pumps in explosive zones.

1. Explosion-proof submersible pumps may only be operated with the thermal sensing system connected
2. If ball type float switches are used, these must be connected to an intrinsically safe electrical circuit "Protection type EX (i)" in accordance with VDE 0165.
3. Dismantling and repair of submersible explosion-proof motors may only be carried out by approved personnel in specially approved work shops.

### 1.2 Technical data

Maximum noise level  $\leq 70$ dB. This may be exceeded in certain circumstances.

#### 1.2.1 Nameplate

We recommend that you record the data from the original nameplate on the nameplate illustration below and maintain it, together with your purchase receipt, as a proof for subsequent use.

Always state the pump type, item no. and serial no. in the field "Nr" in all Communications

		ABS Production Ltd Wexford, Ireland www.absgroup.com					
Typ		1100		II 2G * EEx dIB T4			
Nr		SN		xx/xxxx			
Qmax		m3/h		Hmax		m	
Qmin		m3/h		Hmin		m	
Q		m3/h		P1		A	
P1		kW		P2		kW	
n		1/min		Hz		Cos	
IP68		DN 100/150		Insul. CIP			
<p>Caution information for the temperature controller is in the installation instructions. - Betriebsanleitung beachten</p> <p>Do not open while energized Nicht öffnen während des Betriebes</p>							

#### Legend

Type	Pump type
Nr/SN	Item No /Serial No
xx/xxxx	Production date (Week/Year)
UN	Rated Voltage
IN	Rated Current
	Frequency
P1N	Rated Input Power
P2N	Rated Output Power
n	Speed
Qmax	Max. Flow
Hmax	Max. Head
Q Imp.	Impeller diameter
DN	Discharge diameter
♦♦	Water pressure tight
- IP 68	Protection type

Figure 1 Nameplate Ex Version

4 Installation and Operating Instructions  
ABS Piranha submersible grinder pump



<b>abs</b>		ABS PUMPS INC. 128 Pond View Drive Meriden CT 06450 Tel. (203) 238-2700 www.absgroup.com		<b>FM</b> Typecode proof Approved (14014) GRI-0	
<b>SUBMERSIBLE WASTEWATER PUMP MOTOR</b>					
Model:		P2: HP		SN	
Volts:		F.L.Amps:			
Hz	Phase	RPM	Insul.CLF	NEMA Code:	
MAX.AMB.TEMP. 40°C		OPER.TEMP. 120°C		X Max	
Pump Model:		Imp.dia:			
Flow Max:		GPM		Head Max	
DO NOT REMOVE COVER WHILE CIRCUIT IS ALIVE					

Legend		
Model	Pump type/ Item No.	
SN	Serial No.	
UN	Rated Voltage	
P2	Rated Output Power	HP
F.L.Amps	Full Load Amps	
Hz	Frequency	
Phase	Three/Single Phase	
RPM	Speed	min-1
Imp. dia	Impeller diameter	mm
Max. v	Max submersible depth	ft
Flow Max.	Rated Discharge	GPM
Head Max.	Max. Head	ft
Max		

Figure 2 Nameplate FM Version

<b>abs</b>		ABS PUMPS INC. 128 POND VIEW DRIVE MERIDEN CT 06450 Tel. (203) 238-2700 www.absgroup.com		<b>UL</b> LISTED BY <b>CSA</b> CUL	
Model:		P2: HP		SN	
Volts:		F.L.Amps:			
Hz	Phase	RPM	P2	HP	
MAX.AMB.TEMP. 40°C		Insul.CLF		NEMA CODE	
Imp. DIA:		mm		Max 2:	
Flow Max:		GPM		Head Max:	
				ft	

Legend		
Model	Pump type/ Item No.	
SN	Serial No.	
UN	Rated Voltage	
P2	Rated Output Power	HP
F.L.Amps	Full Load Amps	
Hz	Frequency	
Phase	Three/Single Phase	
RPM	Speed	min-1
Imp dia	Impeller diameter	mm
Max v	Max submersible depth	ft
Flow Max.	Rated Discharge	GPM
Head Max.	Max. Head	ft

Figure 3 Nameplate Standard Version

<b>abs</b>		ABS Production Ltd. Wexford, Ireland www.absgroup.com		<b>CE</b>	
Typ		SN		xx/xxxx	
Nr.					
UN	V	Ph	IN	A	Hz
P1N	kW	P2N	kW	n	min-1
Qmax	m <sup>3</sup> /h	Hmax	m	Imp.	mm
cos		Hmin	m	DN	
Insul. CLF		DIN EN12050			

Legend		
Type	Pump type	
Nr./SN	Item No./Serial No.	
xx/xxxx	Production date (Week/Year)	
UN	Rated Voltage	V
IN	Rated Current	A
	Frequency	Hz
P1N	Rated Input Power	kW
P2N	Rated Output Power	kW
n	Speed	min-1
Qmax	Max. Flow	m <sup>3</sup> /h
Hmax	Max. Head	m
Ø Imp.	Impeller diameter	mm
DN	Discharge diameter	mm
♦♦	Water pressure tight	
IP 68	Protection type	

Figure 4 Nameplate Standard Version



## 2 Safety

The general and specific health and safety hints are described in detail in the separate booklet *Safety Hints*. If anything is not clear or you have any questions as to safety make certain to contact the manufacturer ABS.

## 3 Transport



During transport the unit should not be dropped or thrown.



The unit should never be raised or lowered by the Power cable.

The unit is fitted with a lifting device to which a chain and shackle may be attached for transport purposes.



Any hoist used must be adequately dimensioned for the weight of the unit.

All relevant safety regulators as well as general good technical practice must be complied with.

## 4 Mounting and installation



The regulations covering the use of pumps in sewage applications together with all regulations involving the use of explosion-proof motors should be observed. The cable ducting to the control panel should be sealed off in a gas-tight manner by the use of a foaming material after the cable and control circuits have been pulled through. In particular the safety regulations covering work in enclosed areas in sewage plants should be observed together with general good technical practice.

### 4.1 Installation example, concrete sump

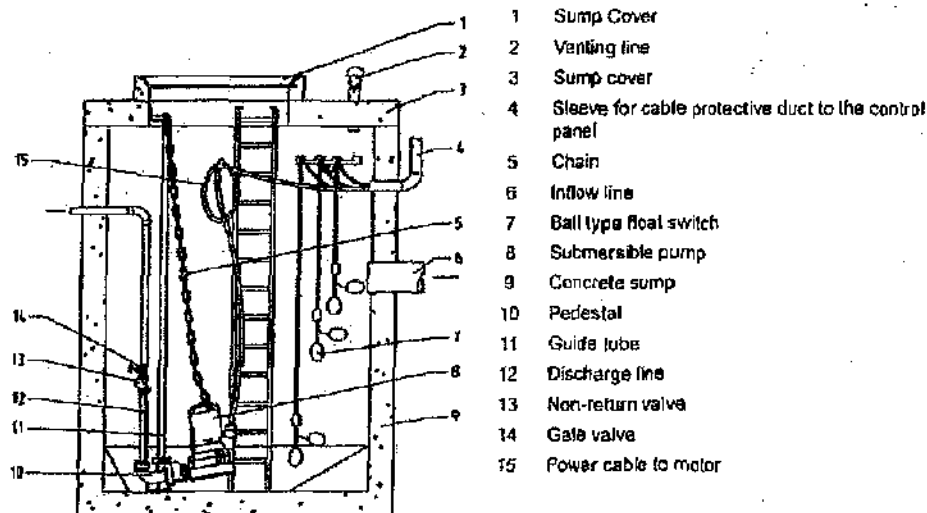


Figure 5 Nameplate Standard Version

**ATTENTION** For those applications subject to Regulation DIN 1986, a backwash loop should be provided in the discharge line.

## 4.2 Discharge Line

The discharge line must be installed in compliance with the relevant regulations. DIN 1986/100 and EN 12056 applies in particular to the following:

- The discharge line should be fitted with a backwash loop (180° bend) located above the backwash level and should then flow by gravity into the collection line or sewer.
- The discharge line should not be connected to a down pipe.
- No other inflows or discharge lines should be connected to this discharge line.

**ATTENTION** *The discharge line should be installed so that it is not affected by frost.*

The vent line is connected by means of a push-on sleeve to the vertical outlet at the top of the collection tank. It should be of constant cross-section (min. DN 70) and should have a continuous rise to above roof level.

## 4.3 Electrical Connection



Before commissioning an expert should check that one of the necessary electrical protective devices is available. Earthing, neutral, earth leakage circuit breakers, etc. must comply with the regulations of the local electricity supply authority and a qualified person should check that these are in perfect order.

**ATTENTION** *The power supply system on site must comply with VDE or other local regulations with regard to cross-sectional area and maximum voltage drop. The voltage stated on the nameplate of the pump must correspond to that of the mains*

The power supply cable must be protected by an adequately dimensioned slow-blow fuse corresponding to the rated power of the pump.



The incoming power supply as well as the connection of the pump itself to the terminals on the control panel must comply with the circuit diagram of the control panel as well as the motor connection diagrams and must be carried out by a qualified person.

All relevant safety regulators as well as general good technical practice must be complied with.

**ATTENTION** *For use in the open air, the following VDE regulations apply:*

Submersible pumps used outdoors must be fitted with a power cable of at least 10m length. Other regulations may apply in different countries.

Pumps for use in swimming pools, garden ponds and similar, must comply with European Standard 60335, Part 2, protection class I.

**NOTE** *Please consult your electrician.*



#### 4.3.1 Wiring diagrams

Three Phase: S12/2D, S13/4D, S20/2D, S17/2D,  
S21/2D, S25/2D, S26/2D, S35/2D, S45/2D, M30/2D

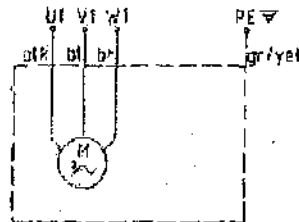


Figure 6 Three Phase Wiring

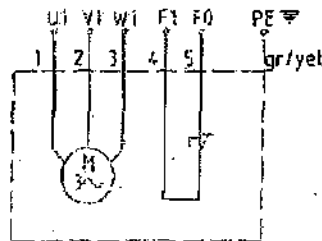


Figure 9 Three Phase Wiring with Temperature Limiter

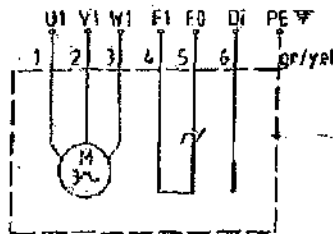


Figure 12 Three Phase Wiring with Temperature Limiter & DI

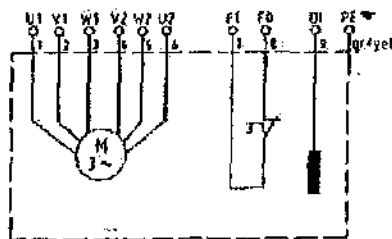


Figure 16 Three Phase Wiring with Temperature Limiter & DI - M30/2D, M55/2D, M70/2D, M85/2D, M125/2D

Single Phase: S10/4 W, S12/2 W, S20/2 W, S17/2 W,

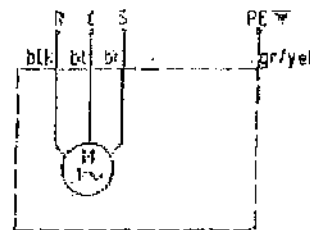


Figure 7 Single Phase Wiring

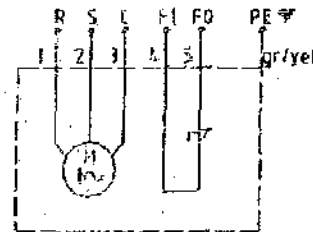


Figure 9 Single Phase Wiring with Temperature Limiter

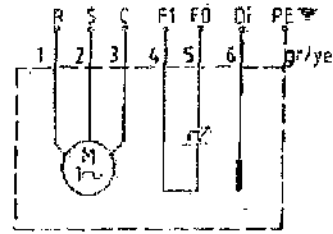


Figure 11 Single Phase Wiring with Temperature Limiter & DI

U1, V1, W1, U2, V2, W2 = Live  
PE = Earth  
Gr/Yel = Green/Yellow  
blk = Black  
bl = Blue  
DI = Seal monitor

br = Brown  
F1/FO = Thermal sensor  
R = Run  
S = Start  
C = Neutral (common)

**ATTENTION** *Explosion-proof pumps may only be used in explosive zones with the thermal sensors fitted (Leads: FO, F1).*

**ATTENTION** *It is important to use the correct capacitors with single phase pumps, use of incorrect capacitors will lead to motor burn-out*

#### 4.3.2 Checking direction of rotation



The safety hints in the previous sections must be observed!

When three phase units are being commissioned for the first time and also when used on a new site, the direction of rotation must be carefully checked by a qualified person.



When checking the direction of rotation, the unit should be secured in such a manner that no danger to personnel is caused by the rotating impeller, or by the resulting air flow. Do not place your hand into the hydraulic system!



The direction of rotation should only be altered by a qualified person.



When carrying out the direction of rotation check as well as when starting the unit pay attention to the **START REACTION**. This can be very powerful!

#### **ATTENTION**

The direction of rotation is correct if the impeller/propeller rotates in a clockwise manner when viewing down from the top of the placed unit



Motor

#### **ATTENTION**

The start reaction is anti clockwise

Figure 13 Rotor rotation

#### **NOTE**

*If a number of units are connected to a single control panel then each unit must be individually checked.*

#### **ATTENTION**

*The mains supply to the control panel should have a clockwise rotation. If the leads are connected in accordance with the circuit diagram and lead designations, the direction of rotation will be correct.*

#### 4.3.3 Changing direction of rotation



The safety hints in the previous sections must be observed!



The direction of rotation should only be altered by a qualified person.

If the direction of rotation is incorrect then this is altered by changing over two phases of the power supply cable in the control panel. The direction of rotation should then be rechecked

#### **NOTE**

*The direction of rotation measuring device monitors the direction of rotation of the mains supply or that of an emergency generator*

#### 4.3.4 Connection of the seal monitoring device in the oil chamber

In order to integrate the seal monitoring device to a control panel supplied by the customer, it is essential that the ABS DI-module be installed in accordance with the control circuit diagrams following:

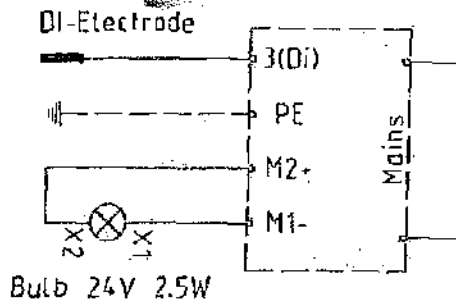


Figure 14 DI Module (connection of neon bulb)

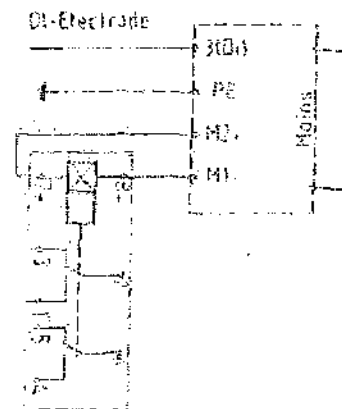


Figure 15 DI Module with relay for individual signaling

**ATTENTION** Maximum contact loading 2 amps

**NOTE** ABS DI-module with relay for individual signalling (not supplied as standard)

## 5 Commissioning



In explosive zones care must be taken that during switching on and operation of the pumps the pump section is filled with water (dry running) or alternatively is submerged or under water (wet installation). Ensure in this case that the minimum submergence given in the data sheet is observed. Other types of operation e.g. snore operation or dry running are not allowed.



The safety hints in the previous sections must be observed!

Before commissioning the unit should be checked and a functional test carried out. Particular attention should be paid to the following:

- Have the electrical connections been carried out in accordance with regulations?
- Have the thermal sensors been connected?
- Is the seal monitoring device (where fitted) correctly installed?
- Is the motor overload switch correctly set?
- Have the power and control circuit cables been correctly fitted?
- Was the sump cleaned out?
- Have the inflow and outflows of the pump station been cleaned and checked?
- Is the direction of rotation correct - even if run via an emergency generator?
- Are the level controls functioning correctly?
- Are the required gates valves (where fitted) open?
- Do the non-return valves (where fitted) function easily?



## 6 Maintenance



Before commencing any maintenance work the unit should be completely disconnected from the mains by a qualified person and care should be taken that it cannot be inadvertently switched back on.



When carrying out any repair or maintenance work, the safety regulations covering work in enclosed areas of sewage installations as well as good general technical practices should be followed.

**NOTE** *The maintenance hints given here are not designed for "do-it-yourself" repairs as special technical knowledge is required.*

**NOTE** *A maintenance contract with our works service department will guarantee you the best technical service under all circumstances.*

### 6.1 General maintenance hints

ABS submersible pumps are reliable quality products each being subjected to careful final inspection. Lubricated-for-life ball bearings together with monitoring devices ensure optimum pump reliability provided that the pump has been connected and operated in accordance with the operating instructions.

Should, nevertheless, a malfunction occur, do not improvise but ask your ABS customer service department for assistance.

This applies particularly if the unit is continually switched off by the current overload in the control panel, by the thermal sensors of the thermo-control system or by the seal monitoring system (DI).

Regular inspection and care is recommended to ensure a long service life.

**NOTE** *The ABS service organisation would be pleased to advise you on any applications you may have and to assist you in solving your pumping problems.*

**NOTE** *The ABS warranty conditions are only valid provided that any repair work has been carried out in ABS approved workshop and where original ABS spare parts have been used.*

#### 6.1.1 Shredding system

The shredding system of the Piranha is a wearing part, and as such may need to be replaced. A reduction in cutting performance can reduce the output. We recommend that the shredding be inspected regularly. This is particularly so if sewage containing sand is being pumped. Regular inspection and care is recommended to ensure a long service life.

The ABS service organisation would be pleased to advise you on any applications you may have and to assist you in solving your pumping problems

**ATTENTION** *Before starting the pump ensure that the shredding rotor can rotate freely*

**NOTE** *The ABS warranty conditions are only valid provided that any repair work has been carried out in ABS approved workshop and where original ABS spare parts have been used.*

## 6.2 Commentary on maintenance of Lifting Stations in accordance with EN 12056.

It is recommended that the lifting station be inspected monthly and its function checked.

In accordance with EN regulations, the lifting station should be maintained by a qualified person at the following intervals:

- in commercial premises - every three months,
  - in apartment blocks - every six months,
  - in a single family home - once a year.
- In addition we recommend that a maintenance contract be taken out with a qualified company.

## 6.3 Oil filling and oil changing

The oil chamber between the motor and the hydraulic section has been filled at the works with lubricating oil.

An oil change is only necessary if a fault occurs.

The lighting up of the inspection control indication in the control panel by the DI-electrode fitted in the pump means that there is water in the motorhousing (only Piranha M55/2- M125/2).

Have the mechanical seal unit checked by your ABS service centre.

An oil change, if the inspection lamp does light, is only necessary when carrying out repair work.



Repair work on explosion-proof submersible pumps may only be carried out by approved personnel in approved workshops.

When carrying out repairs only original spare parts, supplied by the manufacturer, should be used.

The DI-electrode fitted in explosion-proof pumps indicates that there is moisture in the motor area.

## 6.4 Cleaning

If the pump is used for transportable applications then it should be cleaned after each usage by pumping clear water in order to avoid deposits of dirt and encrustation. In the case of fixed installation, we recommend that the functioning of the automatic level control system be checked regularly. By switching the selection switch (switch setting "HAND") the sump will be emptied. If deposits of dirt are visible on the floats then these should be cleaned. After cleaning, the pump should be rinsed out with clear water and a number of automatic pumping cycles carried out.

## 6.5 Venting of the volute

After lowering the pump into a sump full of water, an air lock may occur in the volute and cause pumping problems. In this case, raise the pump in the medium and then lower it again. If necessary, repeat this venting procedure.

## Declaration of conformity

As defined by: Machinery Directive 98/37/EC, EMC-Directive 89/336/EC, Low Voltage Directive 73/23/EC, ATEX 94/9/EC, Construction Directive 88/108/EC

GB: Declaration of conformity	NL: Overeenkomstigheidsverklaring	HU: Megfelelősségi nyilatkozat
DE: Konformitätsklärung	SE: Förekämn om överensstämmelse	GR: Δήλωση συμμόρφωσης
FR: Déclaration de Conformité	NO: Samsvaretsklæring	ET: Vastavusdeklaratsioon
ES: Declaración de Conformidad	DK: Overensstemmelseserklæring	CZ: Prohlášení o shodě
PT: Declaração de conformidade	FI: Vaatimustenmukaisuusvakuutus	SI: Izjava o skladnosti
IT: Dichiarazione di conformità	PL: Deklaracja zgodności	SK: Vyhlásenie o zhode

ABS Production Wexford Ltd, Clonard Road, Wexford, IRELAND

GB: Declare under our sole responsibility that the products	DK: Erklærer på eget ansvar, at følgende produkter
DE: Erkläre eigenverantwortlich, daß die Produkte	FI: Vakuutamme yksinomaan omalla vastuullamme, että seuraavat tuotteet
FR: Déclarons sous notre seule responsabilité que les produits	PL: Oświadczam z pełną odpowiedzialnością, że wyrażeni tu
ES: Declaramos bajo nuestra exclusiva responsabilidad que los productos	HU: Felelősségünk teljes tudatában kijelentjük, hogy a termékek
PT: Declaramos sob nossa única responsabilidade que os produtos	GR: Δηλώνουμε με αποκλειστική μας ευθύνη ότι τα προϊόντα
IT: Dichiaro solo la nostra esclusiva responsabilità che i prodotti	ET: Deklaranme ainuvastutajana, et tooted
NL: Verklaar geheel onder egen verantwoordelijkheid dat de producten	CZ: Prohláším na vlastní odpovědnost, že výrobky
SE: Förekämn under eget ansvar att produkterna	SI: Izjavljam, da so z našo izjavo odgovornostjo izdeli
NO: Erklærer på eget ansvar at følgende produkter	SK: Vyhlasujem na vlast zodpovednost, že výrobky

### Products:

ABS submersible grinder pump Piranha 312 - M120

II 2G k EEX d IIB T4

GB: To which this declaration relates are in conformity with the following standards or other normative documents	DK: Som er omfattet af denne erklæring, er i overensstemmelse med følgende standarder eller andre normative dokumenter
DE: Auf die sich diese Erklärung bezieht, den folgenden und/oder anderen normativen Dokumenten entsprechen	FI: Jöhin tämä vakuutus liittyy oviin seuraaviin standardien sekä muiden sääntöjenmääräysten asiakirjojen mukaisiin
FR: Auxquels se réfère cette déclaration sont conformes aux normes ou à d'autres documents normatifs	PL: O których odnosi się niniejsza deklaracja są zgodne z następującymi normami lub innymi dokumentami normatywnymi
ES: Objeto de esta declaración, están conformes con las siguientes normas u otros documentos normativos	HU: Amelyekre ez a nyilatkozat vonatkozik, megfelelnek a következő szabványoknak és egyéb szabályozó dokumentumoknak leírásnak
PT: Aque se refere esta declaração está em conformidade com as Normas ou outros documentos normativos	GR: Τα οποία περιγράφει η παρούσα δήλωση είναι σύμφωνα με τα εκδιδόμενα κατά άλλα πρότυπα κανονιστικά έγγραφα
IT: Ai quali questa dichiarazione si riferisce sono conformi alla seguente norme o ad altri documenti normativi	ET: Mida käesolev deklaratsoon puudutab, on vastavuses järgmiste standardide ja muude normatiivdokumentidega
NL: Waarop deze verklaring betrekking heeft, in overeenstemming zijn met de volgende normen of andere normatieve documenten	CZ: Na které se tato prohlášení vztahuje, jsou v souladu s následujícími normami nebo jinými normativními dokumenty
SE: Som omfattas av denna förklaring är i överensstämmelse med följande standarder eller andra regelgivande dokument	SI: Na katere se ta izjava nanaša, skladni z naslednjimi standardi ali drugimi normativnimi dokumenti
NO: Som dekkes av denne erklæringen, er i samsvar med følgende standarder eller andre normative dokumenter	SK: Na ktoré sa vzahuje toto vyhlásenie, zodpovedajú nasledujúcim štandardom a iným súvisiacim dokumentom

DIN EN 12050-1, EN 60335, EN 50014, EN 50018, EN 13463, EN ISO 12100-1 & EN 12100-2

03-01-2006

*Sean Roche*

Sean Roche  
ABS Production Wexford Ltd.





ABS Production Wexford Ltd., Clonard Road, Wexford, Ireland  
Tél +353 53 91 63 200 Fax +353 53 91 42335 [www.absgroup.com](http://www.absgroup.com)



# Warranty

## ABS ONE YEAR PRODUCT WARRANTY PERMANENT TYPE INSTALLATION

ABS Pumps Inc. warrants its new products (excluding replacement parts) used in a permanent installation to be free from defects in workmanship and materials, covering parts and labor, for a period of twelve (12) months from the date of installation, or eighteen (18) months from the date of shipment to original end customer, whichever occurs first. Proof of installation/startup date or purchase/shipment date will be required to support a warranty claim.

Any product used in a portable application will be subject to the 6 month portable dewatering warranty.

Control panel warranty is effective only if control installation and wiring meets all applicable articles of the National Electrical Code at time of installation and if start-up is done by an authorized ABS agent.

Start-up reports and electrical system schematics may be required to support warranty claims and will be required for all claims on pumps of 30 Horsepower and greater. All protection features (such as moisture sensors, bearing monitors, and thermal overloads) incorporated in the product must be connected and operable to validate the warranty. Warranty effective only if ABS supplied or authorized cables and control panels are used.

ABS's sole obligation under this warranty shall be to make repairs and replace parts when necessary on products that have been returned to ABS, prepaid, or to an ABS authorized service facility and found to be defective. Products repaired under this warranty will be returned with freight prepaid. All returns must have prior authorization from ABS. ABS product must be repaired by an authorized ABS repair facility in order to support the warranty. Explosion Proof (Agency Approved) pumps must be repaired at an ABS authorized service center in order to keep the Explosion Proof rating.

ABS shall not be liable for any special, indirect, consequential damages, or profit loss of any kind. Major components not manufactured by the Company are covered by the original manufacturer's warranty in lieu of this warranty. ABS will not be held responsible for travel expenses, rented (replacement) equipment, pump removal fees, installation fees, outside contractors fees, or unauthorized repair shop expenses. Damage due to normal wear or failure beyond "defect in workmanship" is not covered. The warranty does not cover damage caused by a defective power supply or improper electrical protection.

ABS neither assumes nor authorizes any person or other company to assume for it, any other obligation in connection with the sale of its equipment. Any enlargement or modification of this warranty by a Representative or other Sales Agent is their exclusive responsibility.

This warranty is not transferable and shall extend only to the original end user. It shall not apply to any products that have been repaired or altered without ABS's consent. It does not apply to products that have been subject to misuse, accident, neglect, installation damage, or have been used for pumping liquids other than what it was designed for.

**NO OTHER WARRANTIES EXPRESS OR IMPLIED, INCLUDING IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WILL APPLY.**

## EXHIBIT C

## UTILITY & COMMUNITY SERVICES

### Chapel Hill

	<u>Phone Numbers</u>	<u>Date Contacted/Notes</u>
Gas	<b>PSNC</b> 66 N. Chatham Pkwy, Chapel Hill 27517 877-776-2427	<hr/> <hr/> <hr/>
Electric	<b>Progress Energy Carolinas</b> 919-508-5400 – Service 800-419-6356 - Outages	<hr/> <hr/> <hr/>
Telephone	<b>Century Link</b> 800-672-6242 – Customer Service 800-788-3600 – Repair <b>AT&amp;T</b> 888-757-6500 – Customer Service	<hr/> <hr/> <hr/> <hr/> <hr/>
Water	<b>Chatham County Utilities</b> 66 N. Chatham Pkwy, Chapel Hill 27517 919-542-8270	<hr/> <hr/> <hr/>
Waste Water Treatment	<b>Briar Chapel, LLC - Envirolink, Inc.</b> 1-888-754-9878	<hr/> <hr/> <hr/>
Trash	<b>First Choice Disposal</b> 919-542-5398	<hr/> <hr/> <hr/>
Cable TV	<b>Time Warner Cable</b> 1201B Raleigh Rd, Chapel Hill 27517 866-489-2669	<hr/> <hr/> <hr/>
Post Office	<b>USPS</b> 916 East St, Pittsboro 27312 919-642-0128	<hr/> <hr/> <hr/>
Newspaper	<b>News &amp; Observer</b> 919-829-4500 <b>Herald Sun</b> 919-4897464	<hr/> <hr/> <hr/> <hr/>

## WARRANTY & EMERGENCY TELEPHONE NUMBERS

**Subdivision – Briar Chapel**

Lot - ###

Homes by Dickerson will provide warranty for materials and workmanship of your home as dictated by the "Homes by Dickerson Limited Warranty Guide" for up to one-year beyond your closing date. This guide can be found on your closing CD as well as on our website in the "About Us", "Customer Care" tab. Your warranty representative will be in contact with you to schedule completions of both your 3 and 10 month warranty items. Although it is our objective to consolidate all warranty services into the 3 and 10 month milestone dates, the vendors listed below should be contacted directly when emergency and minor intermediary services are needed. Most vendors work by appointment, therefore a direct call to the vendor in these circumstances will best allow them to accommodate your schedule. Please be certain to inform your warranty representative of all warranty related items outside of the 3 month and 10 month lists.

- |                                   |  |
|-----------------------------------|--|
| 1. Warranty Manager – Dale Pearce | Homes by Dickerson<br>Email <a href="mailto:warranty@homesbydickerson.com">warranty@homesbydickerson.com</a><br>Office 847-4447                                  |
| 2. Plumbing                       | Evans Plumbing 772-9133  |
| 3. Grinder Pump                   | EnviroLink (252) 235-4900<br><i>Non-Emergency and 24hr Emergency Dispatcher</i>  |
| 4. Heating & Cooling              | ARS 828-5147   |
| 5. Electrician                    | Diverse Electric 848-4652  |
| 6. Low Voltage                    | Diverse Security 848-4652<br><i>Phone, Cable, Security, Speakers</i>   |
| 7. Appliances                     | Judd Barrett (1 <sup>st</sup> year) 348-0686<br>Electrolux (after 1 <sup>st</sup> year) 888-203-1389<br><i>Please call as follow up to service calls as well</i> |
| 8. Cabinets                       | Stock 828-7466   |
| 9. Tile and Carpet                | Capital Tile 881-7898  |
| 10. Lighting                      | Lights Unlimited - Garner 779-6838   |
| 11. Roofing                       | Larry Bisette Inc. 773-2140  |
| 12. Landscaping/Sprinklers        | Davis Landscape 662-1009   |
| 13. Garage Doors                  | Dan's Overhead Doors 894-7386  |
| 14. Fireplaces                    | Dickerson Hearth 872-6616  |
| 15. Juarez Painting               | Personal Paint Requests Only 669-9768<br><i>Contact Dale Pearce for Warranty Related Items</i>   |

**Thank you,  
Homes by Dickerson**

**For BCU, LLC Use Only:**

Account No: \_\_\_\_\_  
Builder/Resident? \_\_\_\_\_ Flow  
Allocation: \_\_\_\_\_ gpd

## Application for Connection

**Briar Chapel Utilities, LLC**

**Wastewater System**

c/o Envirolink, Inc.

PO Box 670

Bailey, NC 27807

252-235-4900

Email: [bcutilities@envirolinkinc.com](mailto:bcutilities@envirolinkinc.com)



**ENVIROLINK**

*Your Partner in Utility Management*

**\*\*\*All applicable sections must be complete to be approved and mailed to address above.\*\*\***

Select One: ☐ New Service ☐ Transfer Service

**Service Location Information:**

Name: \_\_\_\_\_ Lot Number: \_\_\_\_\_

Property Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

Email: \_\_\_\_\_

Effective Date: \_\_\_\_\_

**MAILING ADDRESS FOR BILLS  
(OWNER or TENANT)**

Customer Name \_\_\_\_\_  
Name 2 or C/O \_\_\_\_\_  
Mailing Address \_\_\_\_\_  
City, State, Zip \_\_\_\_\_  
Telephone # home \_\_\_\_\_  
Telephone # cell \_\_\_\_\_  
Email \_\_\_\_\_

**EXISTING CUSTOMER INFORMATION  
(For Transfer of Service Only)**

Customer Name Homes by Dickerson  
Name 2 or C/O \_\_\_\_\_  
Mailing Address 7201 Creedmoor Rd. Suite 147  
City, State, Zip Raleigh, NC 27613  
Telephone # home 919-847-4447  
Telephone # cell \_\_\_\_\_  
Account # \_\_\_\_\_

**Customer Agreement (Owner)**

As the owner, I hereby accept responsibility for all charges pertaining to waste water service and will not compromise the proper operation of waste water sanitary sewer being provided in any manner. I understand that any unpaid balance may result in service being discontinued.

Owner Signature \_\_\_\_\_ Date \_\_\_\_\_

**Customer (Owner) -- Tenant Agreement**

I hereby request that Briar Chapel Utilities LLC send all bills directly to the tenant and waive my right to receive all bills relative to waste water service for the above service address. I understand that any unpaid balance may result in service being discontinued.

Owner Signature \_\_\_\_\_ Date \_\_\_\_\_

As the tenant, I hereby accept responsibility for all charges pertaining to waste water service from the above date. I understand that any unpaid balance may result in service being discontinued.

Tenant Signature \_\_\_\_\_ Date \_\_\_\_\_

**OLD NORTH STATE WATER COMPANY, LLC,  
DOCKET NO. W-1300, SUB 9**

**BRIAR CHAPEL UTILITIES, LLC  
DOCKET NO. W-1230, SUB 1**

**TRANSFER OF SEWER FRANCHISE  
TESTIMONY OF BABETTE MCKEMIE  
ON BEHALF OF THE PUBLIC STAFF  
NORTH CAROLINA UTILITIES COMMISSION**

**March 31, 2015**

1 Q. PLEASE STATE FOR THE RECORD YOUR NAME, BUSINESS  
2 ADDRESS, AND PRESENT POSITION.

3 A. My name is Babette McKemie. My business address is 430 North  
4 Salisbury Street, Dobbs Building, Raleigh, North Carolina. I am a  
5 Utilities Engineer with the Public Staff of the North Carolina Utilities  
6 Commission (Commission).

7

8 Q. BRIEFLY STATE YOUR QUALIFICATIONS AND EXPERIENCE  
9 RELATING TO YOUR PRESENT POSITION WITH THE PUBLIC  
10 STAFF.

11 A. I am a graduate of North Carolina State University; having earned a  
12 Bachelor of Science Degree in Chemical Engineering. I have twenty-  
13 seven years of environmental engineering experience, and since  
14 joining the Public Staff in November 2001, I have worked on rate  
15 increase applications, new franchise applications, and other aspects

1 of sewer utility regulation. I am a registered Professional Engineer in  
2 North Carolina.

3

4 Q. WHAT ARE YOUR DUTIES IN YOUR PRESENT POSITION?

5 A. My duties with the Public Staff are to monitor the operation of  
6 regulated sewer utilities regarding rates and service. Included in  
7 these duties are field investigations to review and evaluate the design,  
8 construction, and operations of regulated sewer utilities, preparing  
9 reports and data to be used by the Commission, testifying in formal  
10 hearings, and making recommendations to the Commission  
11 concerning initial and revised rate structures for regulated sewer  
12 utilities.

13

14 Q. WHAT IS THE NATURE OF THE APPLICATION IN THIS RATE  
15 CASE?

16 A. Old North State Water Company, LLC, (ONSWC) and Briar Chapel  
17 Utilities, LLC, (Briar Chapel) filed a joint application to transfer the  
18 ownership and assets of Briar Chapel to Old North State on  
19 November 7, 2014. ONSWC did not apply to revise rates.

20

21 Q. BRIEFLY EXPLAIN THE SCOPE OF YOUR INVESTIGATION  
22 REGARDING THIS TRANSFER APPLICATION.



1 A. I have reviewed the operational status of the wastewater collection  
2 and treatment system. I reviewed the Division of Water Quality (DWQ)  
3 compliance inspection reports from July 31, 2013, August 23, 2013  
4 and August 14, 2014. I reviewed the past twelve months Non-  
5 Discharge Monitoring Reports. I conducted a visit to the site on March  
6 12, 2015 and met with Mike Myer of ONSWC.

7 The plant appears to be well run and operating in compliance with  
8 DWQ requirements and standards. The Contract Operator,  
9 Envirolink, is providing adequate maintenance and service and will  
10 continue to operate the plant after ONSWC takes ownership.

11

12 Q. HAVE YOU RECEIVED ANY CUSTOMER COMPLAINTS AS A  
13 RESULT OF CUSTOMER NOTICE IN THIS DOCKET?

14 A. The Public Staff has not received any customer protests as a result of  
15 the customer notice.

16

17 Q. WILL THIS TRANSFER HAVE ANY EFFECT ON THE  
18 RESPONSIBILITY FOR MAINTAINING INDIVIDUAL GRINDER  
19 PUMPS?

20 A. Currently, the fifteen (15) grinder pumps in Herndon Woods  
21 Subdivision are maintained by Briar Chapel Utilities, LLC. After the  
22 transfer, ONSWC will assume this responsibility and take  
23 responsibility for the maintenance of the grinder pumps. There are

1       also another forty-one (41) grinder pumps throughout the Briar Chapel  
2       Subdivision, which the homeowners own and maintain. The  
3       responsibility for the operation and maintenance, repairs and  
4       replacement of these will remain the homeowners.

5

6    Q.    WHAT IS THE CAPACITY OF THE BRIAR CHAPEL WASTEWATER  
7       TREATMENT PLANT AND IS AN EXPANSION GOING TO BE  
8       ANTICIPATED IN THE NEAR TERM?

9    A.    The Briar Chapel wastewater treatment and disposal system has been  
10       operating at significantly lower flows than permitted. On September  
11       30, 2013 Briar Chapel Utilities, LLC received approval for an Adjusted  
12       Daily Sewage Flow Rate from DWQ. Based on the current flows and  
13       the DWQ approved flow reduction, and the current rate of building, the  
14       expansion of the wastewater treatment plant and disposal system is  
15       not anticipated to be necessary in the near future.

16

17   Q.    DOES THE PUBLIC STAFF WATER DIVISION RECOMMEND THE  
18       COMMISSION APPROVAL OF THIS TRANSFER?

19   A.    Yes, ONSWC owns and operates a number of other water and  
20       wastewater utility systems pursuant to certificates of public  
21       convenience and necessity issued by the Commission. ONSWC has  
22       provided adequate service for these utility systems. The Public Staff

1 believes that ONSWC has the managerial and operation capacities to  
2 provide adequate service to the Briar Chapel wastewater customers.  
3  
4 Q. WHAT BOND DOES THE PUBLIC STAFF WATER DIVISION  
5 RECOMMEND THAT ONSWC BE REQUIRED TO POST FOR THIS  
6 FRANCHISE?  
7 A. There is currently a \$50,000 bond posted by Briar Chapel Utilities,  
8 LLC for this franchise. I recommend that the required bond remain  
9 \$50,000 for Briar Chapel.  
10  
11 Q. WHAT RATES DO YOU RECOMMEND FOR SEWER SERVICE?  
12 A. The applicant has asked for the current rates to remain in effect.  
13 After the adjustment to current rates due to the Implementation of  
14 House Bill 998, the current rate is \$42.30 per month per REU. The  
15 Public Staff recommends approval of the transfer and the current  
16 rate.  
17 Q. DOES THIS CONCLUDE YOUR TESTIMONY?  
18 A. Yes, it does.

OLD NORTH STATE WATER COMPANY, LLC  
DOCKET NO. W-1300, SUB 9

BRIAR CHAPEL UTILITIES, LLC  
DOCKET NO. W-1230, SUB 1

TESTIMONY OF LAURA D. BRADLEY  
ON BEHALF OF THE PUBLIC STAFF  
NORTH CAROLINA UTILITIES COMMISSION

March 25, 2015

1 Q. MS. BRADLEY, WILL YOU STATE FOR THE RECORD YOUR  
2 NAME, ADDRESS, AND PRESENT POSITION?

3 A. My name is Laura Bradley. My business address is 430 N.  
4 Salisbury Street, Raleigh, North Carolina. I am a Staff Accountant  
5 with the Public Staff of the North Carolina Utilities Commission.

6

7 Q. HOW LONG HAVE YOU BEEN EMPLOYED BY THE PUBLIC  
8 STAFF?

9 A. I joined the Accounting Division of the Public Staff on August 15,  
10 2001.

11

12 Q. WHAT ARE YOUR DUTIES?

13 A. I am responsible for the performance of the following activities: (1)  
14 the examination and analysis of testimony, exhibits, books and  
15 records, and other data presented by utilities and other parties  
16 involved in Commission proceedings; and (2) the preparation and

1 presentation to the Commission of testimony, exhibits, and other  
2 documents in those proceedings.

3

4 Q. WILL YOU STATE BRIEFLY YOUR EDUCATION AND  
5 EXPERIENCE?

6 A. I have a Bachelor of Arts Degree from the University of North  
7 Carolina at Chapel Hill. I have an Associate Degree in Applied  
8 Science from Wake Technical Community College. Since joining  
9 the Public Staff, I have presented testimony and exhibits in Maxwell  
10 Water Co., Docket No. W-339, Sub 5; Scientific Water and  
11 Sewerage Corporation, Docket No. W-176, Subs 32 and 37; and  
12 Holiday Island Property Owners Association, Docket No. W-386,  
13 Subs 14 and 15. I have also filed affidavits in other water utility rate  
14 proceedings, assisted on Carolina Water Service, Inc. of North  
15 Carolina, CWS Systems, and Aqua North Carolina, Inc., rate cases,  
16 and worked on various projects dealing with utility matters.

17

18 Q. MS. BRADLEY, WHAT IS THE PURPOSE OF YOUR TESTIMONY  
19 IN THIS PROCEEDING?

20 A. Old North State Water Company, LLC, (Old North State) and Briar  
21 Chapel Utilities, LLC, (Briar Chapel) filed a joint application to  
22 transfer the ownership and assets of Briar Chapel to Old North  
23 State on November 7, 2014.

1

2       The purpose of my testimony is to establish the reasonable amount  
3       of rate base.

4

5       My investigation included a review of the current application, Briar  
6       Chapel's initial franchise application in Docket No. W-1230, Sub 0,  
7       annual reports, data request responses, books and records, and  
8       Public Staff notes from that initial filing.

9

10    Q.   WHAT IS YOUR RECOMMENDATION CONCERNING THE  
11       APPROPRIATE AMOUNT OF RATE BASE?

12    A.   I am recommending that the rate base at the time of transfer be  
13       established at zero as Old North State is not paying a purchase  
14       price at closing.

15

16       Schedule 7, filed with the current application, contains a previous  
17       developer Agreement between NNP-Briar Chapel, LLC,  
18       (Developer) and Briar Chapel, dated October 30, 2009 (Developer  
19       Agreement). The Developer Agreement provides for the  
20       installation, conveyance, and operations of the wastewater utility  
21       system serving Briar Chapel and Herndon Woods in Chatham  
22       County, North Carolina. Pursuant to Developer Agreement section  
23       4.1, the Developer was to install a complete wastewater system in

1 Briar Chapel and Herndon Woods, and was to contribute 80% of  
2 the total construction cost as a Contribution In Aid of Construction  
3 (CIAC) to Briar Chapel. The remaining 20% was to be recovered  
4 through connection fees and rates. Briar Chapel currently has an  
5 approved connection fee of \$1,500.

6  
7 Section 3.2 of the Asset Purchase Agreement (APA), between Old  
8 North State, Developer, and Briar Chapel, dated October 31, 2014  
9 requires Old North State to continue to collect the \$1,500  
10 connection fee, which will be paid back to Briar Chapel on a  
11 quarterly basis as new connections are made. Each \$1,500  
12 connection fee paid is CIAC which will offset the \$1,500 purchase  
13 price payment resulting in zero rate base for that new connection.

14  
15 Section 3.3 of the APA requires that the wastewater treatment plant  
16 (WWTP) be expanded at some unknown point in the future as a  
17 capital investment of Old North State. Old North State estimated  
18 expansion cost of \$2,450,000, which has not yet been incurred, is  
19 not a factor at this time in determining rate base.

20  
21 Section 4.2 of the APA provides that Developer construct at  
22 Developer's expense, all remaining expansions of the Briar Chapel  
23 wastewater utility system with the exception of the expansion of the

1 future WWTP which the APA requires to be performed by Old North  
2 State. The future expansions required of Developer include all  
3 collection system extensions, including collection system lift  
4 stations, reclaimed, spray and reuse irrigation facilities, effluent  
5 storage ponds, if required, and the necessary land and easements  
6 for the collection system, lift stations, reclaimed, spray, and reuse  
7 irrigation facilities, and effluent storage pond.

8

9 Q. DOES THIS CONCLUDE YOUR TESTIMONY?

10 A. Yes, it does.



Craig Exhibit 1  
I/A

STATE OF NORTH CAROLINA  
UTILITIES COMMISSION  
RALEIGH

DOCKET NO. W-1300, SUB 9  
DOCKET NO. W-1230, SUB 1

BEFORE THE NORTH CAROLINA UTILITIES COMMISSION

In the Matter of  
Application by Old North State Water Company, )  
1620 Old Chalk Road, Wake Forest, North Carolina )  
27587, and Briar Chapel Utilities, LLC, 16 Windy )  
Knoll Circle, Chapel Hill, North Carolina, 27516 for )  
Authority to Transfer Sewer Franchise in Briar )  
Chapel Subdivision, in Chatham County, )  
North Carolina, to Old North State Water Company, )  
LLC, and for Approval of Rates )

AFFIDAVIT OF  
CALVIN C CRAIG, III

State of North Carolina

County of Wake

I, Calvin C. Craig, III, being first duly sworn do depose and say:

I am a Public Utilities Financial Analyst with the Economic Research Division of the Public Staff of the North Carolina Utilities Commission representing the using and consuming public.

I received a Bachelor of Science degree in Industrial Relations from the University of North Carolina at Chapel Hill in 1985, a Master of Business Administration degree from East Carolina University in 1993, and a Juris Doctor degree from North Carolina Central University in 2006. Since joining the Public Staff in November 1995, I have been involved with natural gas expansion projects, have conducted rate of return studies, and have filed affidavits assessing financial viability and a fair rate of return in numerous water and sewer

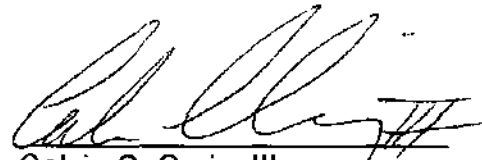
utility rate cases. Prior to joining the Public Staff, my professional experience included ten years in lending and financial analysis with the State Employees Credit Union of North Carolina.

The purpose of my affidavit is to make a recommendation to the Commission regarding the financial fitness of Old North State Water Company LLC (Old North State or Company), to provide sewer utility service at Briar Chapel Subdivision in Chatham County, North Carolina. In regard to the financial fitness of Old North State, I have evaluated the financial and accounting information in this docket and financial statements for Old North State and its affiliate company, Integra Water, LLC.

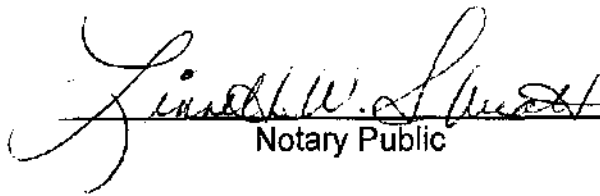
An examination of the financial condition of Old North State caused the Public Staff to develop significant concerns regarding the Company's financial ability to sustain operations and provide funding for necessary capital improvements. The Company's financial statements indicate a capital structure containing a high level of debt. The Company's affiliate company, Integra Water, LLC has a significantly stronger financial position than Old North State and has a reasonable capital structure that contains a significantly lower level of debt. Based on an examination of the financial statements for Integra Water, LLC, along with assurances from executives at Integra that their company was committed to provide capital to Old North State Water Company, LLC, as appropriate to provide adequate service, I conclude that Old North State is financially fit to provide the sewer utility service for which it has applied in this

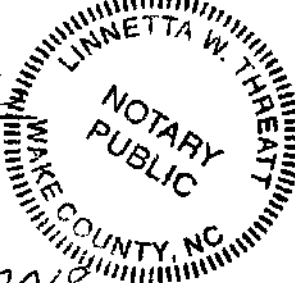
docket. Therefore, I recommend the Commission approve the transfer of the sewer franchise and sewer utility system to Old North State.

This concludes my affidavit.

  
Calvin C. Craig, III

Sworn to and subscribed before me  
this the 25<sup>th</sup> day of March, 2015.

  
Notary Public



My Commission expires: 9-4-2018